



Lexical Analysis: DFA Minimization



Automating Scanner Construction

PREVIOUSLY

RE \rightarrow NFA (*Thompson's construction*)

- Build an NFA for each term
- Combine them with ϵ -moves

NFA \rightarrow DFA (*subset construction*)

- Build the simulation

TODAY

DFA \rightarrow Minimal DFA

- Hopcroft's algorithm



DFA Minimization

Details of the algorithm

- Group states into maximal size sets, *optimistically*
- Iteratively subdivide those sets, as needed
- States that remain grouped together are equivalent



DFA Minimization

Remember DFA = $(Q, \Sigma, \delta, q_0, F)$

Initial partition, P_0 , has two sets: $\{D_F\}$ and $\{D-D_F\}$

Splitting a set s ("partitioning a set by \underline{a} ")

- Assume q_i and $q_j \in s$ and $\delta(q_i, \underline{a}) = q_x$ and $\delta(q_j, \underline{a}) = q_y$
- If q_x and q_y are not in the same set, then s must be split
 - q_i has transition on a , q_j does not $\Rightarrow \underline{a}$ splits s
- One state in the final DFA cannot have two transitions on \underline{a} (otherwise we have an NFA!)



DFA Minimization (the algorithm)

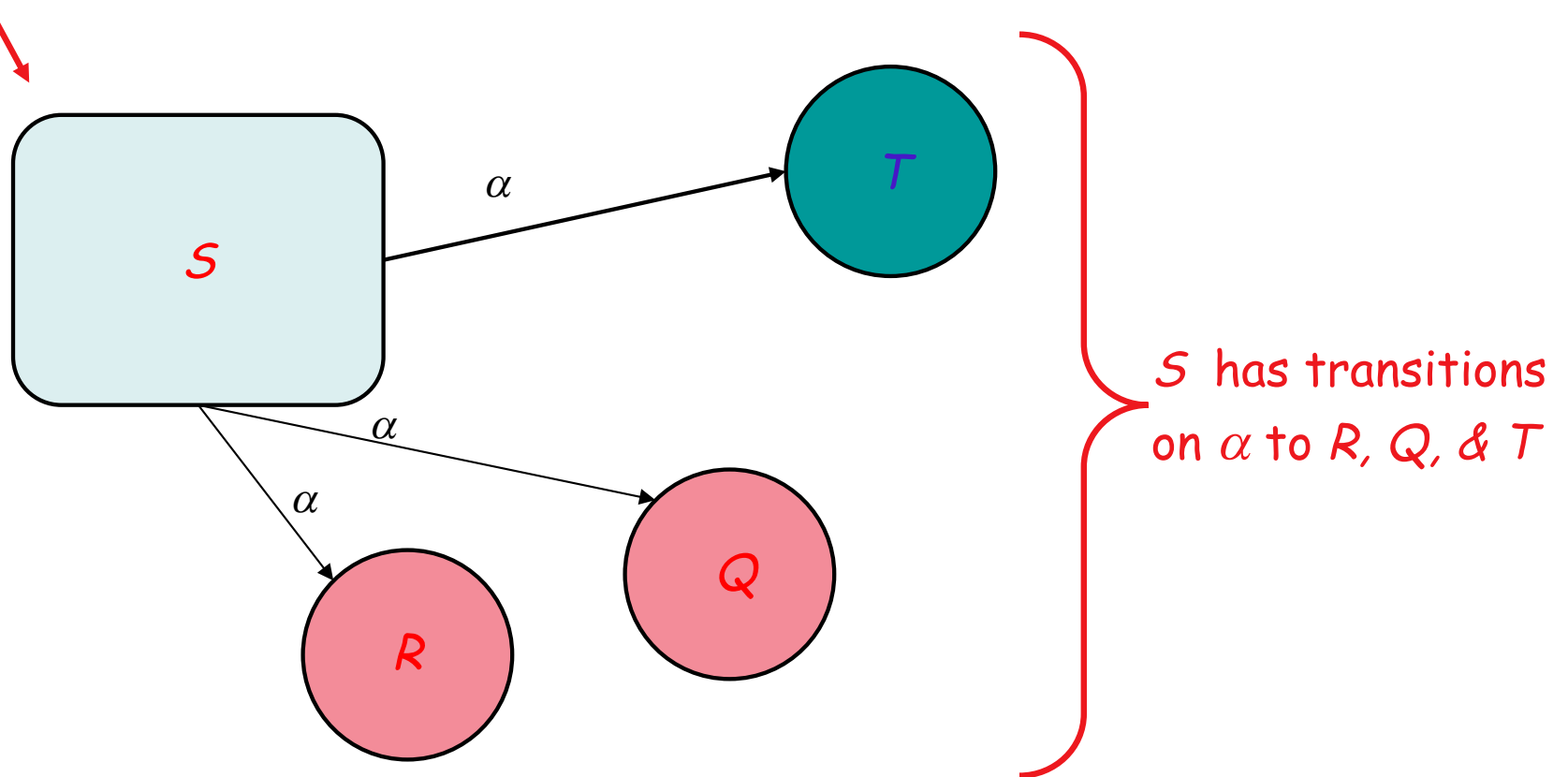
```
P ← { DF, {D-DF}  
while (P is still changing)  
  T ← ∅  
  for each set p ∈ P  
    T ← T ∪ Split(p)  
  P ← T
```

```
Split(S)  
  for each  $\alpha \in \Sigma$   
    if  $\alpha$  splits S into s1 and s2  
      then return {s1, s2}  
return S
```

This is a another
fixed-point algorithm!

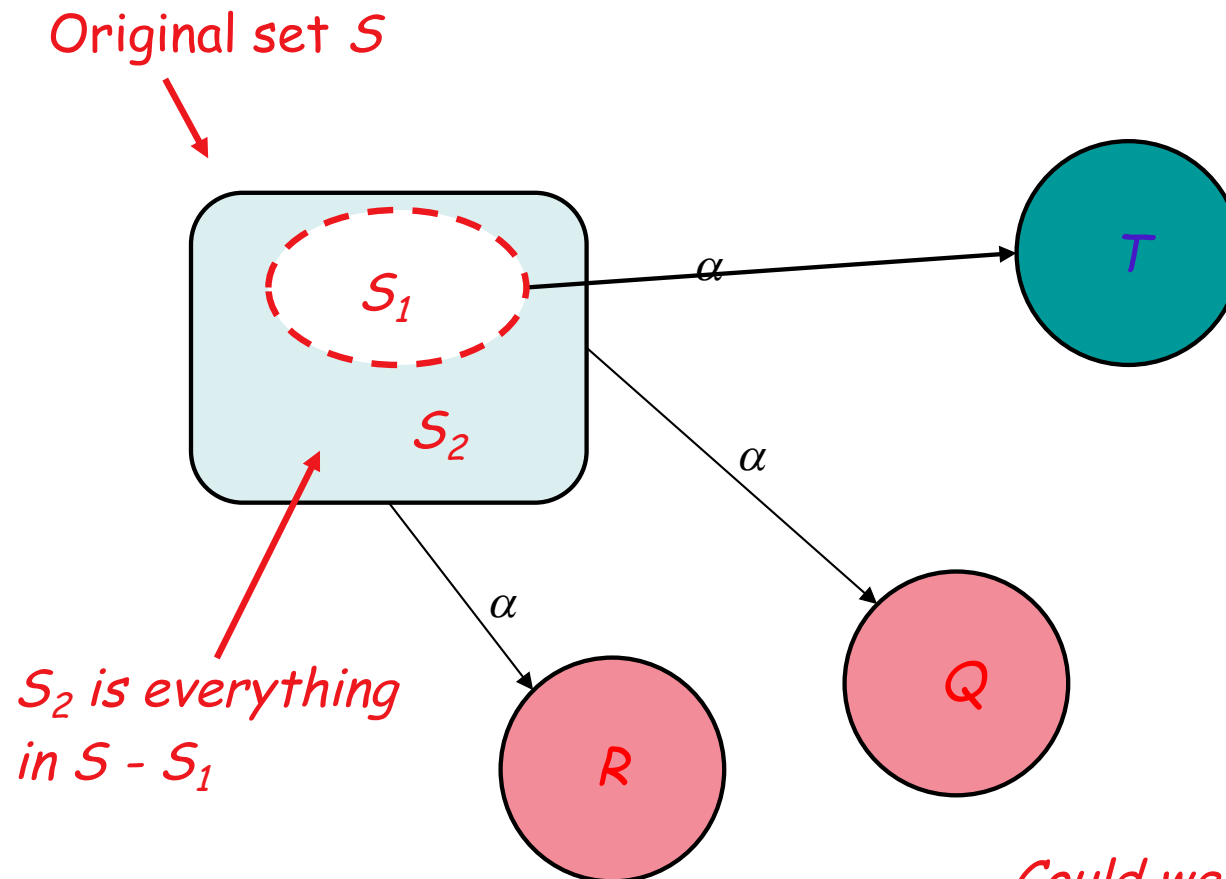
Key Idea: Splitting S around α

Original set S



The algorithm partitions S around α

Key Idea: Splitting S around α



S_2 is everything
in $S - S_1$

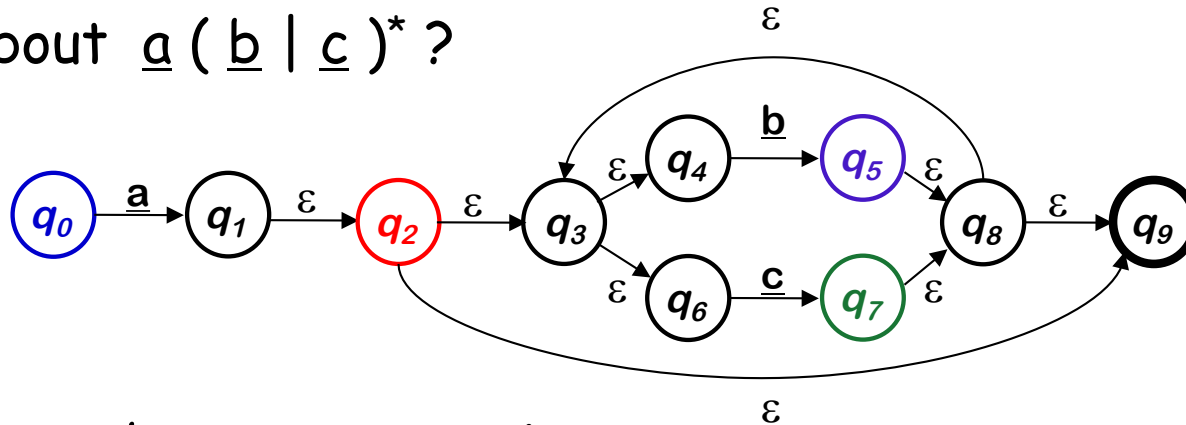
Could we split S_2 further?

Yes, will do this in another iteration!



DFA Minimization

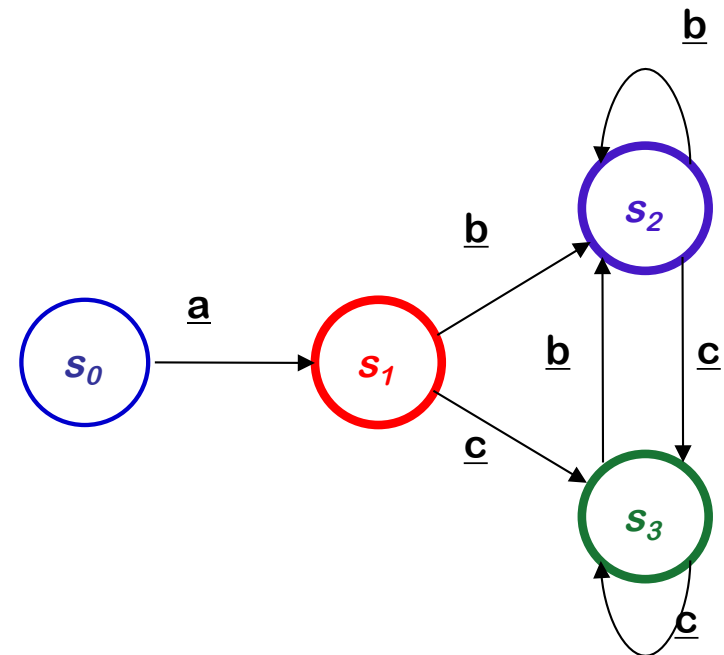
What about $\underline{a} (\underline{b} \mid \underline{c})^*$?



First, the subset construction:

		ϵ -closure($\Delta(s,*)$)		
	NFA states	<u>a</u>	<u>b</u>	<u>c</u>
s_0	q_0	$q_1, q_2, q_3, q_4, q_6, q_9$	none	none
s_1	$q_1, q_2, q_3, q_4, q_6, q_9$	none	$q_5, q_8, q_9, q_3, q_4, q_6$	$q_7, q_8, q_9, q_3, q_4, q_6$
s_2	$q_5, q_8, q_9, q_3, q_4, q_6$	none	s_2	s_3
s_3	$q_7, q_8, q_9, q_3, q_4, q_6$	none	s_2	s_3

Final states





Apply DFA Minimization algorithm

$P \leftarrow \{ D_F, \{D - D_F\} \}$

while (*P is still changing*)

$T \leftarrow \emptyset$

for each set $p \in P$

$T \leftarrow T \cup \text{Split}(p)$

$P \leftarrow T$

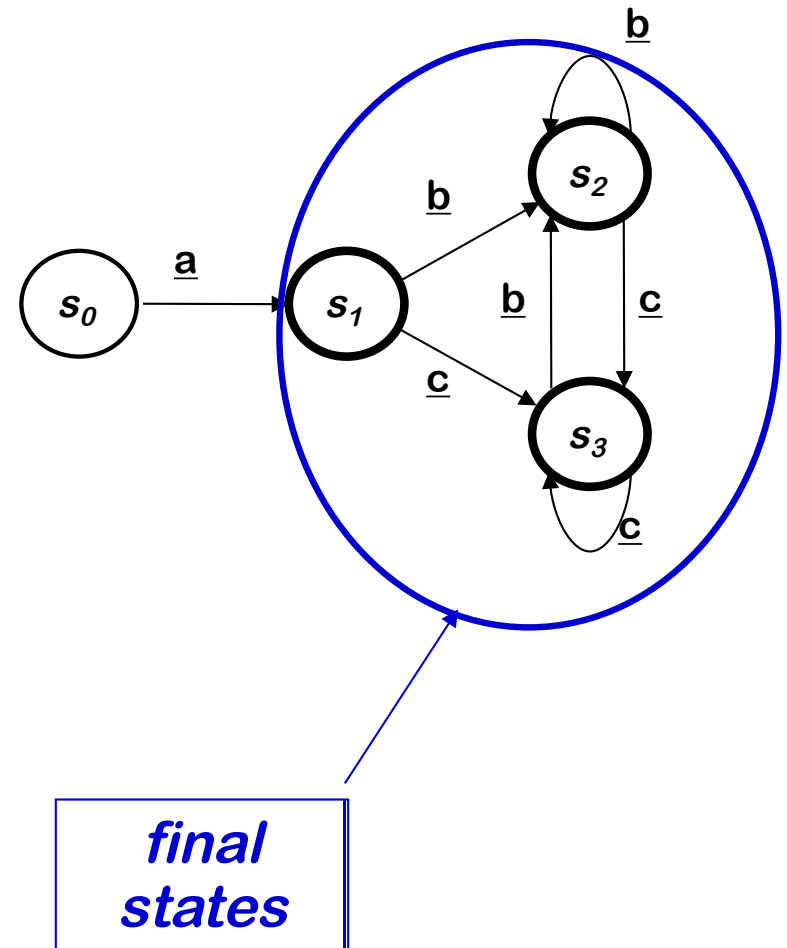
Split(S)

for each $\alpha \in \Sigma$

if α splits S into s_1 and s_2

then return $\{s_1, s_2\}$

return S

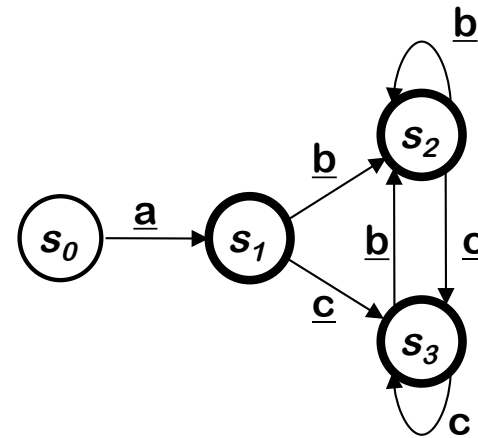


DFA Minimization

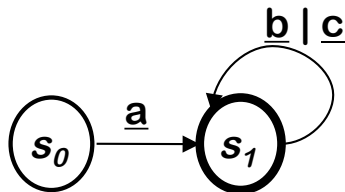
Then, apply the minimization algorithm

	<i>Current Partition</i>	<i>Split on</i>		
		<u>a</u>	<u>b</u>	<u>c</u>
P_0	$\{s_1, s_2, s_3\} \{s_0\}$	<i>none</i>	<i>none</i>	<i>none</i>

final states



To produce the minimal DFA



In a previous lecture, we observed that a human would design a simpler automaton than Thompson's construction & the subset construction did.

Minimizing that DFA produces the one that a human would design!



Abbreviated Register Specification

Start with a regular expression

r0 | r1 | r2 | r3 | r4 | r5 | r6 | r7 | r8 | r9



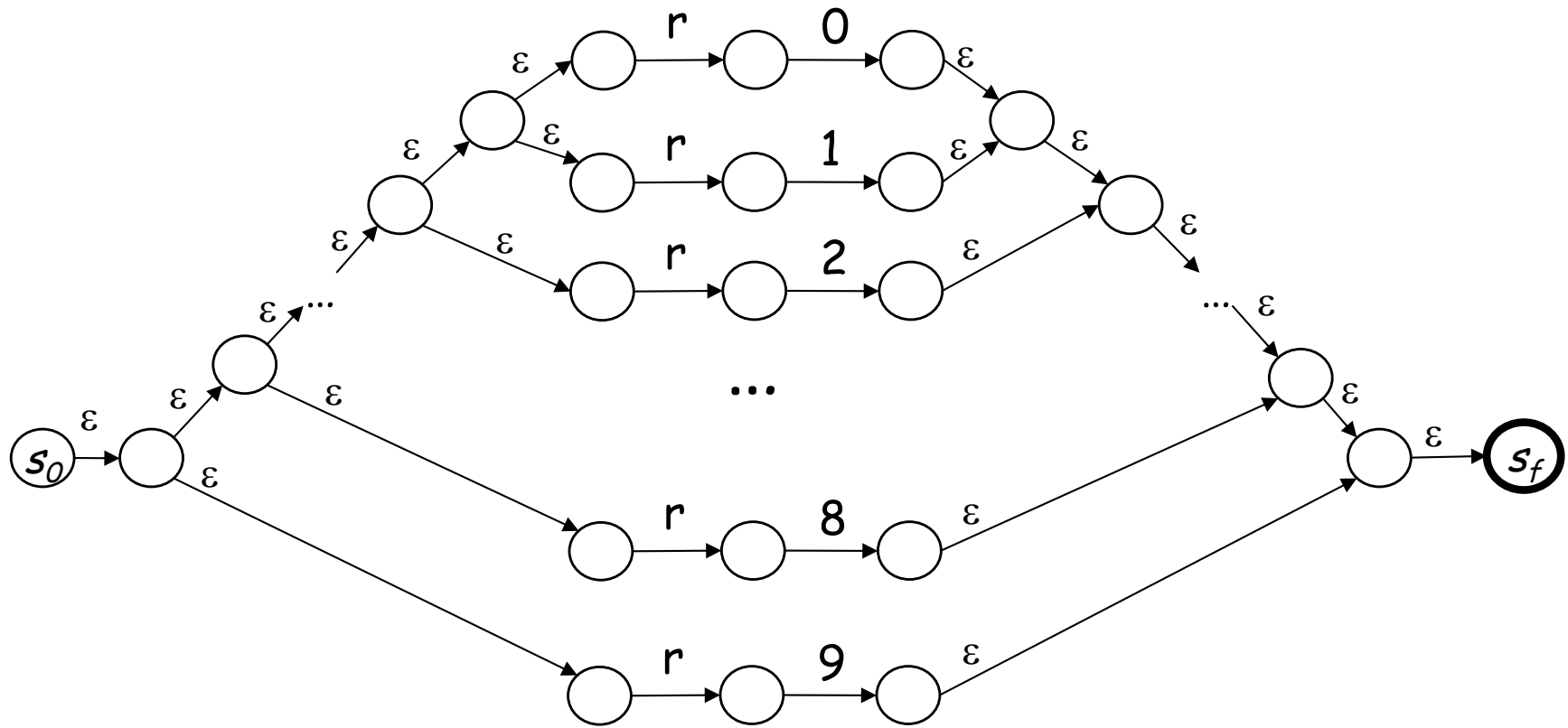
The Cycle of Constructions





Abbreviated Register Specification

Thompson's construction produces



The Cycle of Constructions

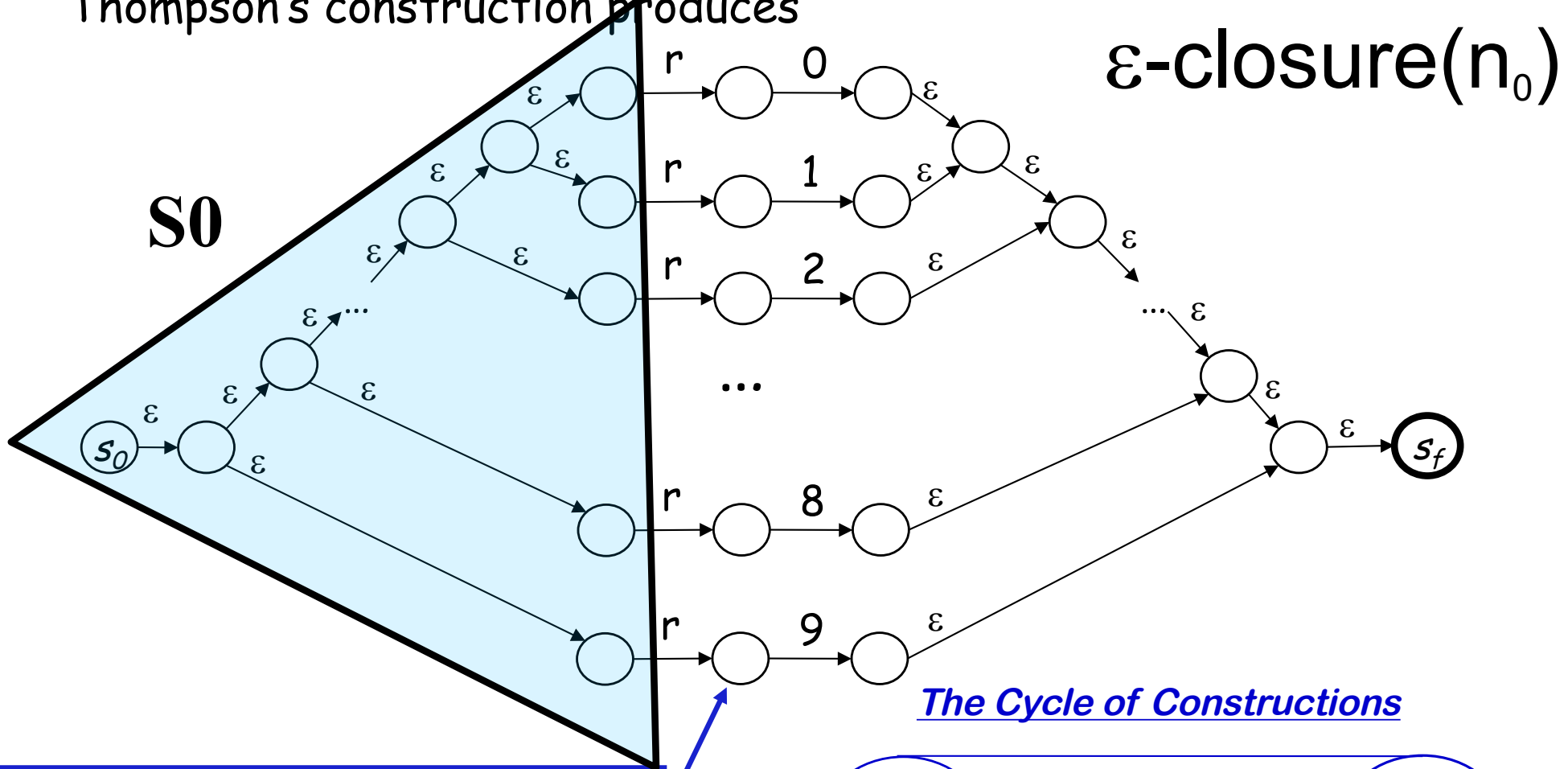
To make it fit, we've eliminated the ϵ -transition between "r" and "0...9".





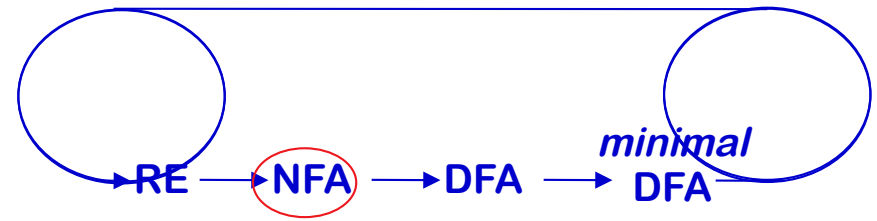
Abbreviated Register Specification

Thompson's construction produces



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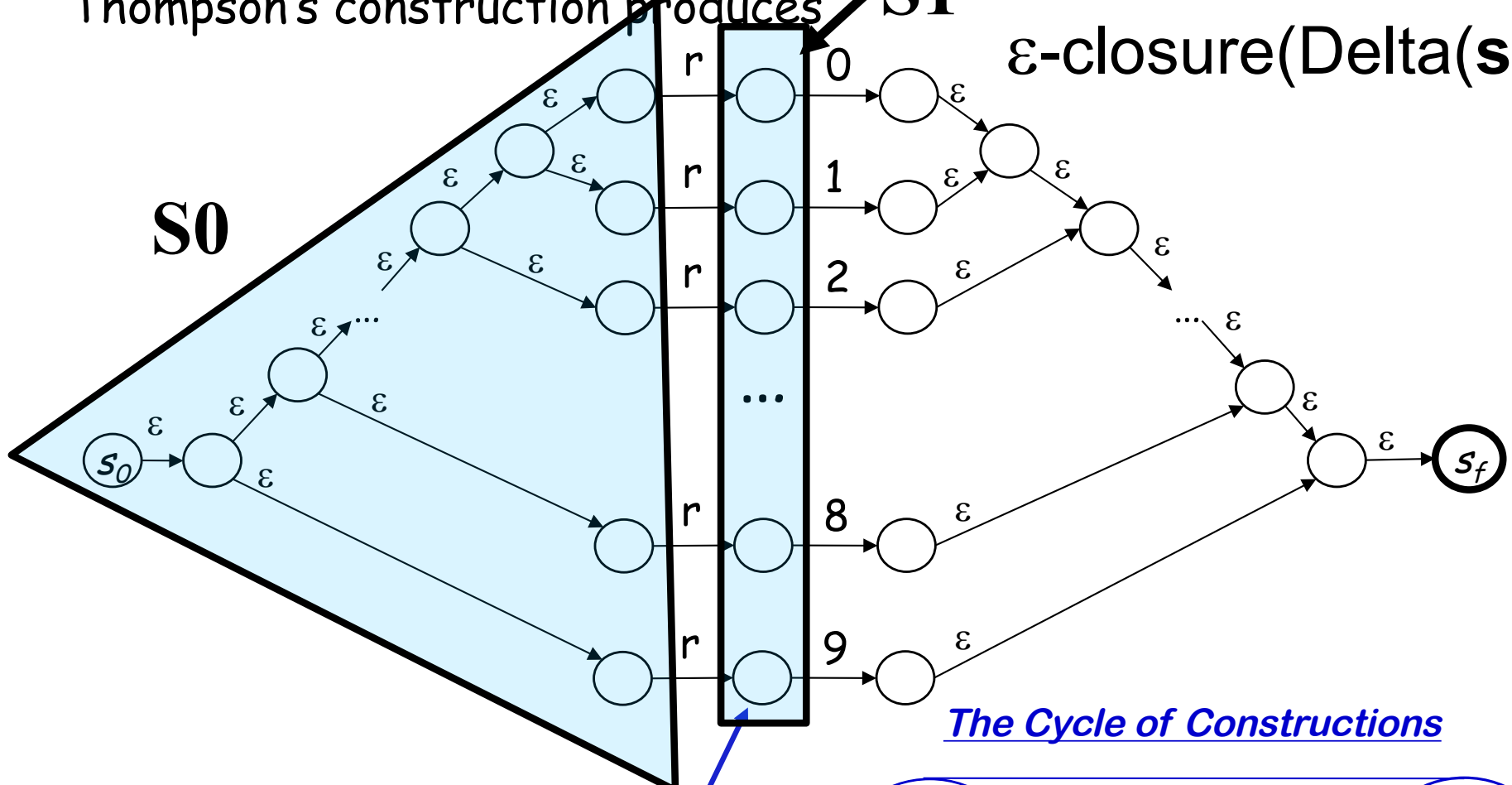
The Cycle of Constructions





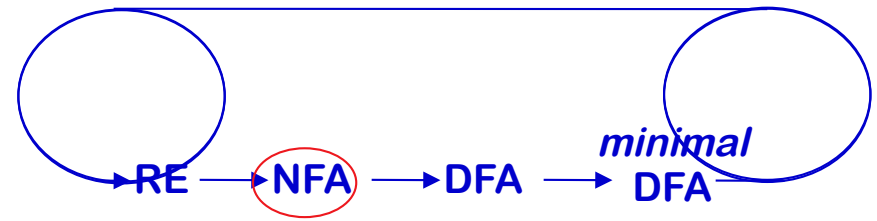
Abbreviated Register Specification

Thompson's construction produces S_1 ϵ -closure($\Delta(s_0, r)$)



To make it fit, we've eliminated the ϵ -transition between "r" and "0...9".

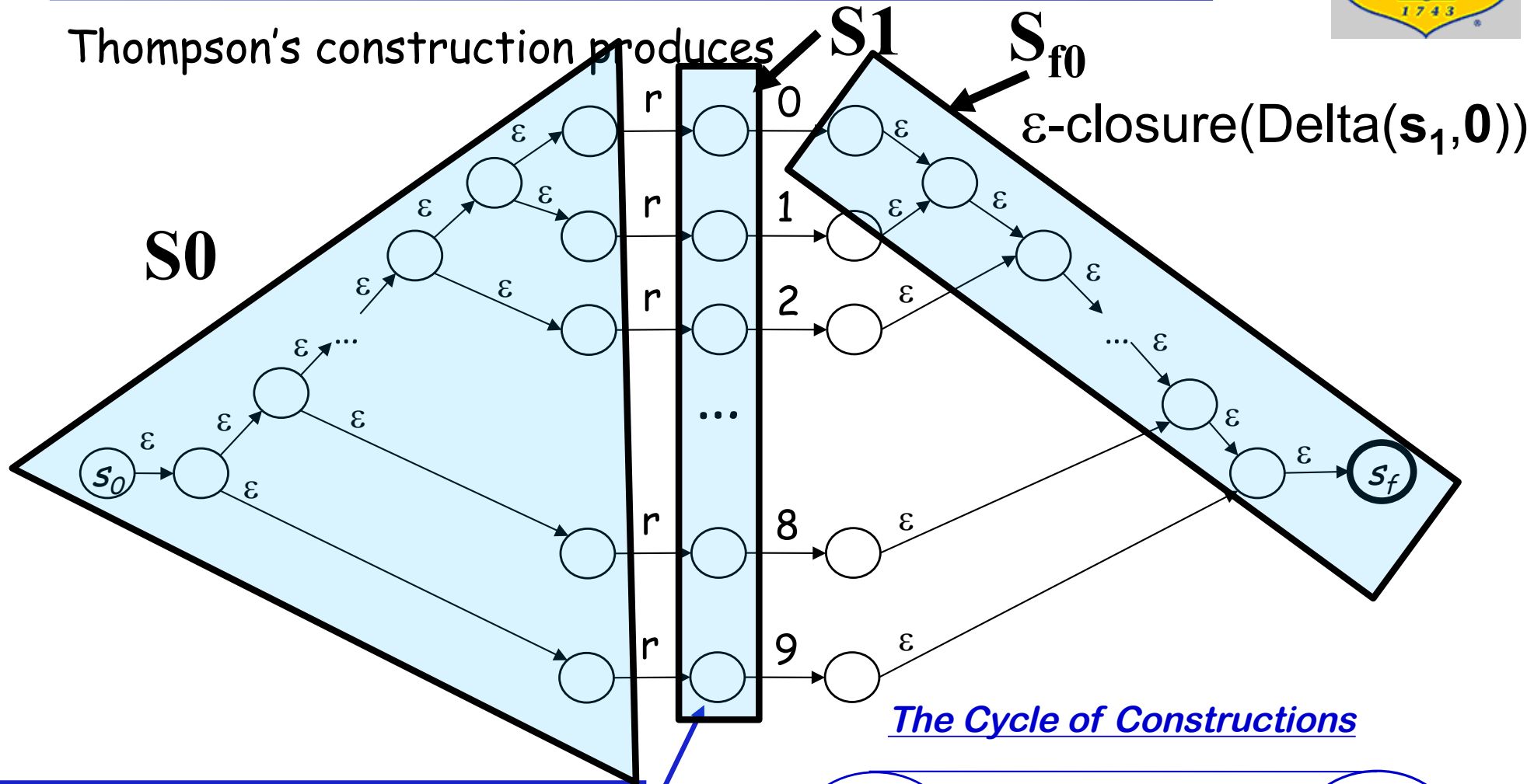
The Cycle of Constructions





Abbreviated Register Specification

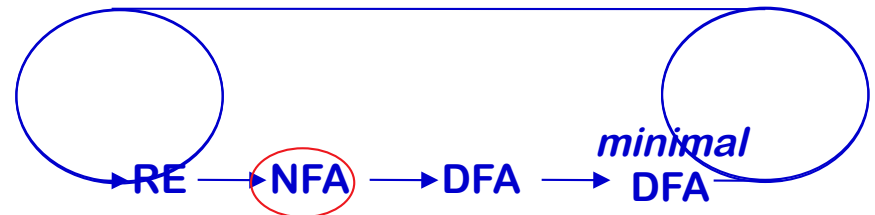
Thompson's construction produces



ϵ -closure($\Delta(s_1, 0)$)

The Cycle of Constructions

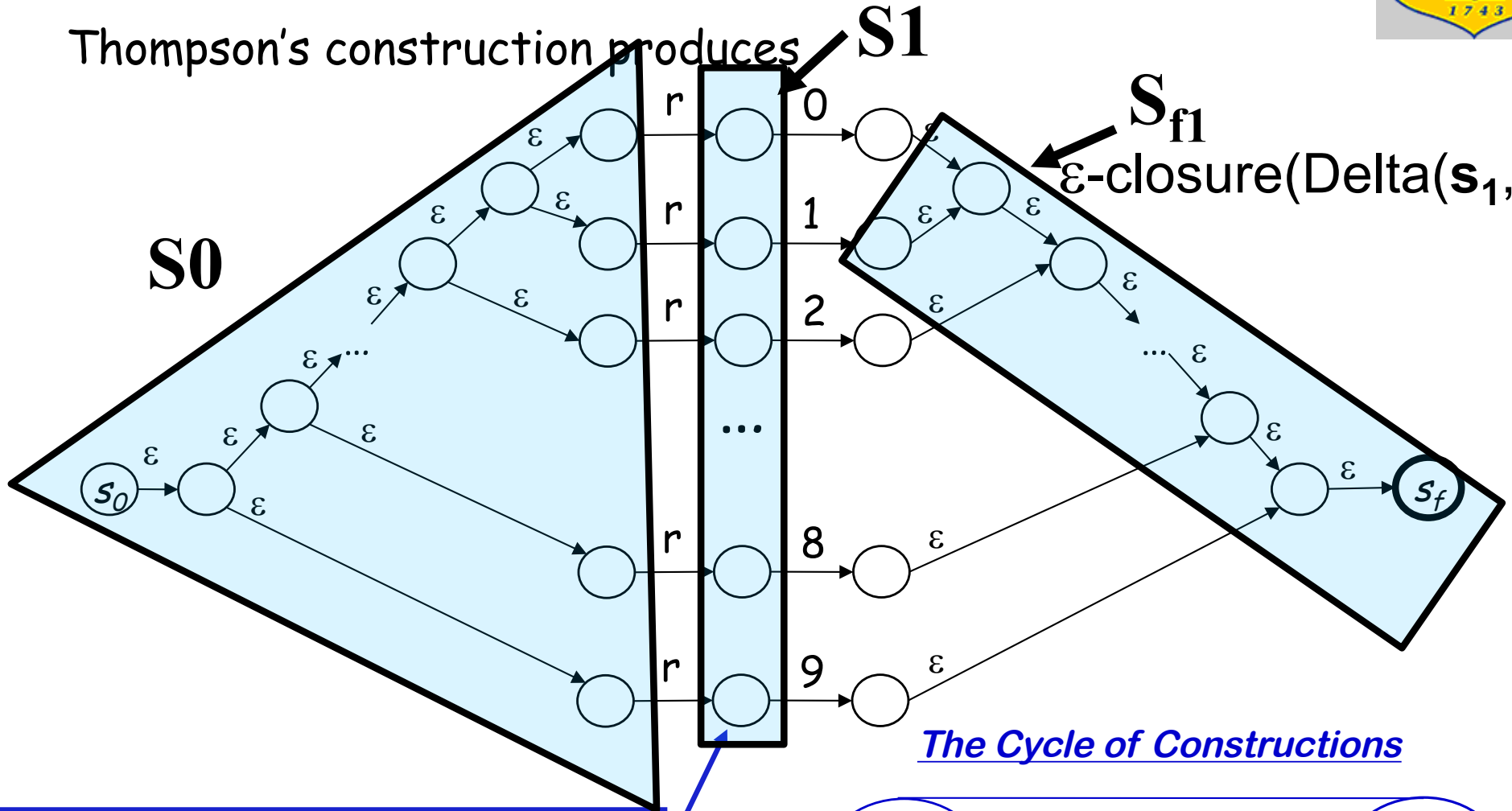
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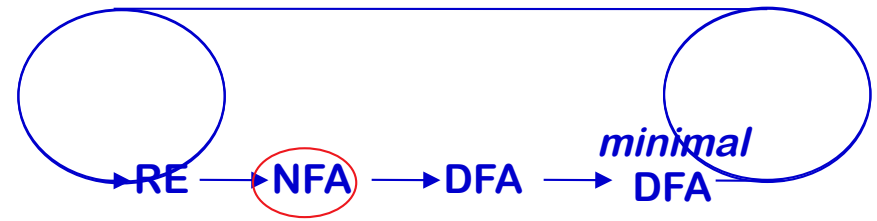
Abbreviated Register Specification

Thompson's construction produces S_1
 S_{f1}
 ϵ -closure($\Delta(s_1, 1)$)



To make it fit, we've eliminated the ϵ -transition between "r" and "0...9".

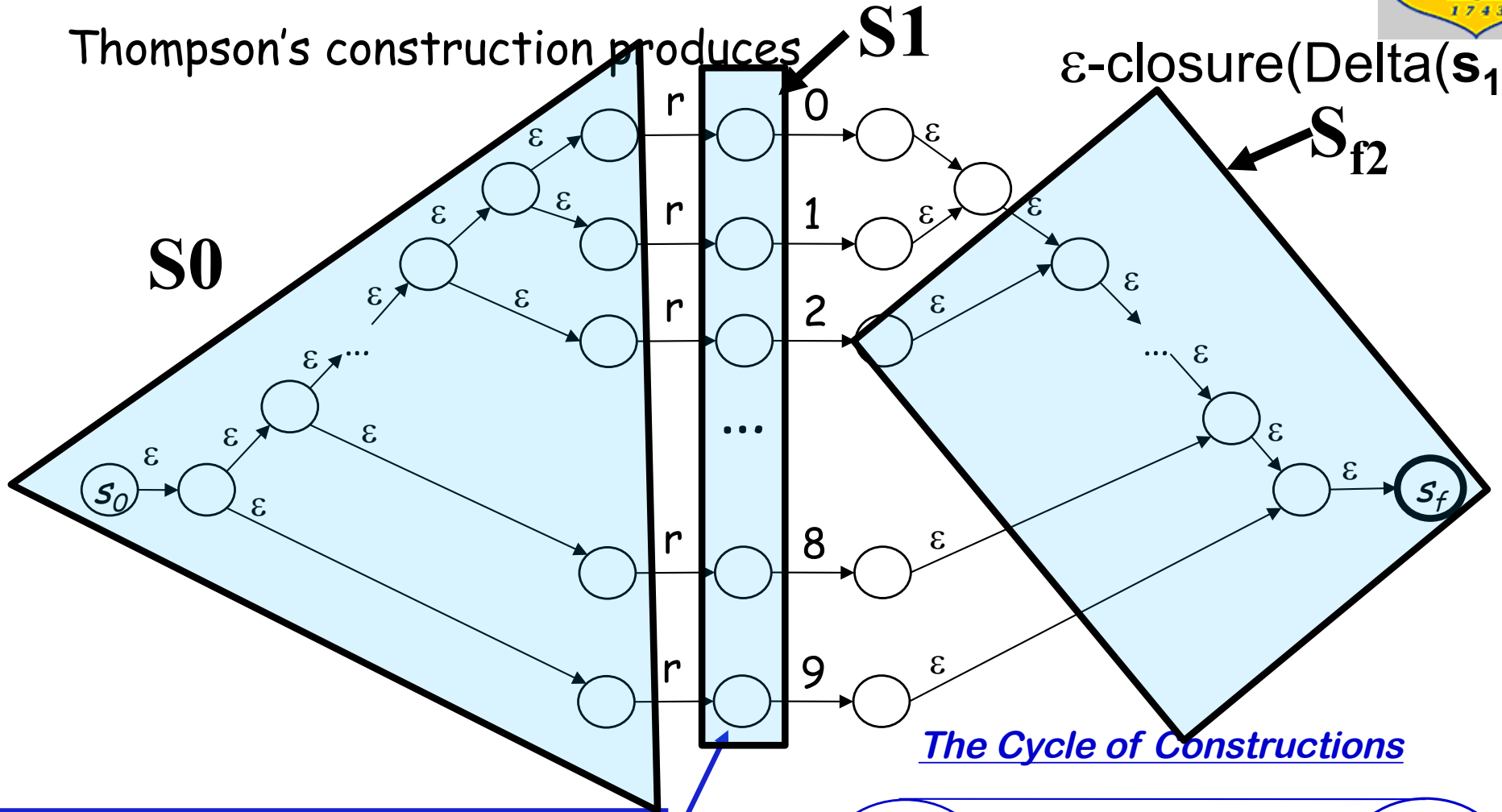
The Cycle of Constructions





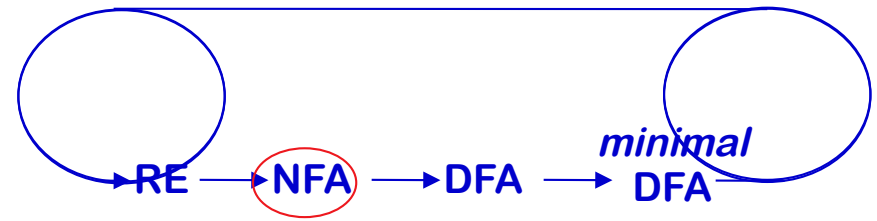
Abbreviated Register Specification

Thompson's construction produces S_1 ϵ -closure(Delta($s_1, 2$)) S_{f2}



To make it fit, we've eliminated the ϵ -transition between "r" and "0...9".

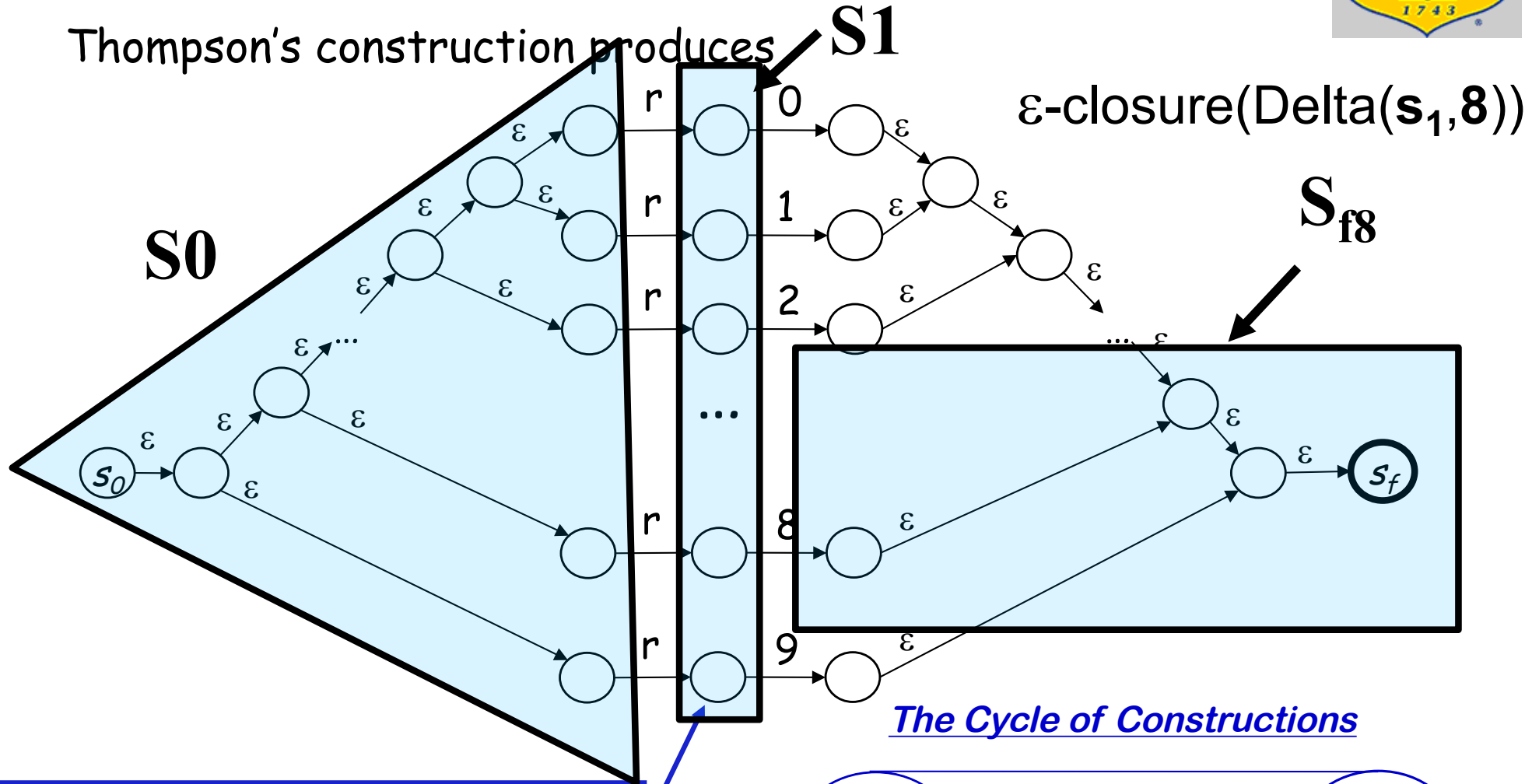
The Cycle of Constructions





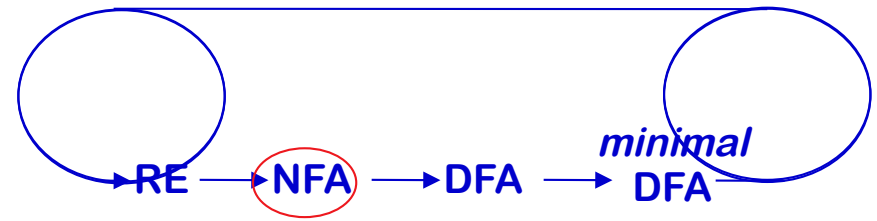
Abbreviated Register Specification

Thompson's construction produces



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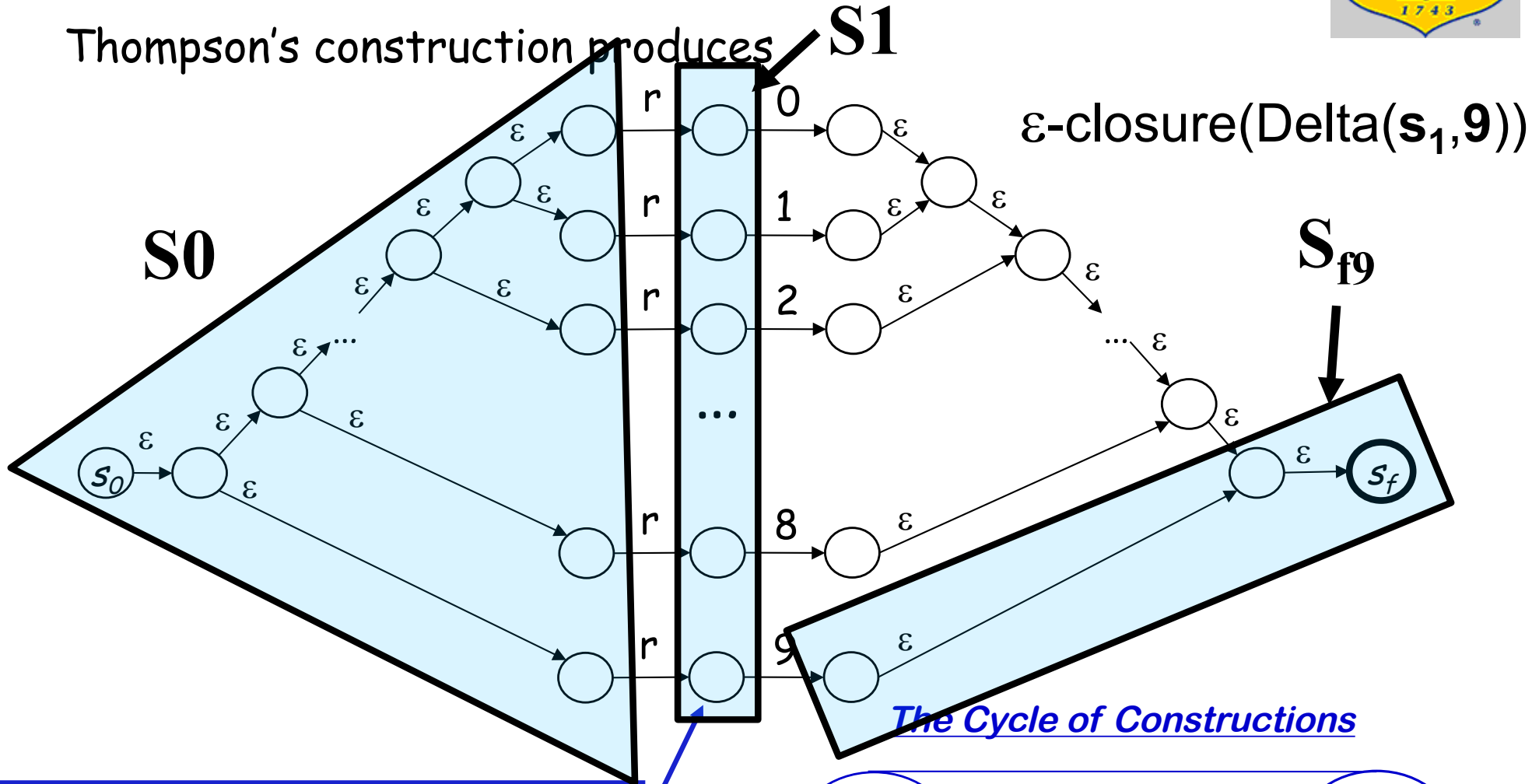
The Cycle of Constructions



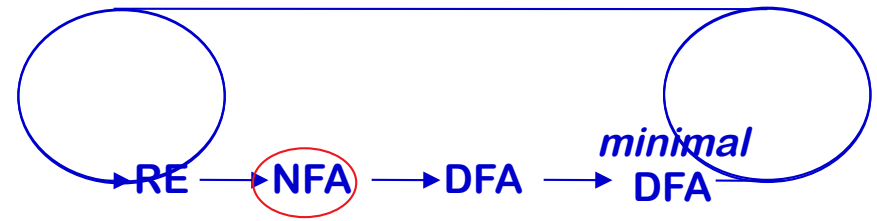


Abbreviated Register Specification

Thompson's construction produces **S1**



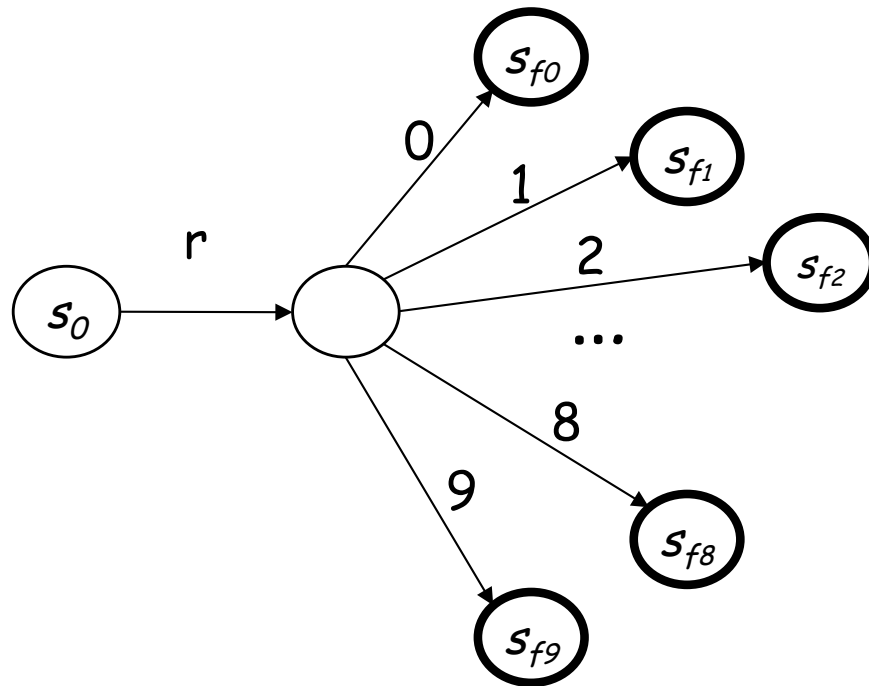
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Abbreviated Register Specification

The subset construction builds



This is a DFA, but it has a lot of states ...

The Cycle of Constructions

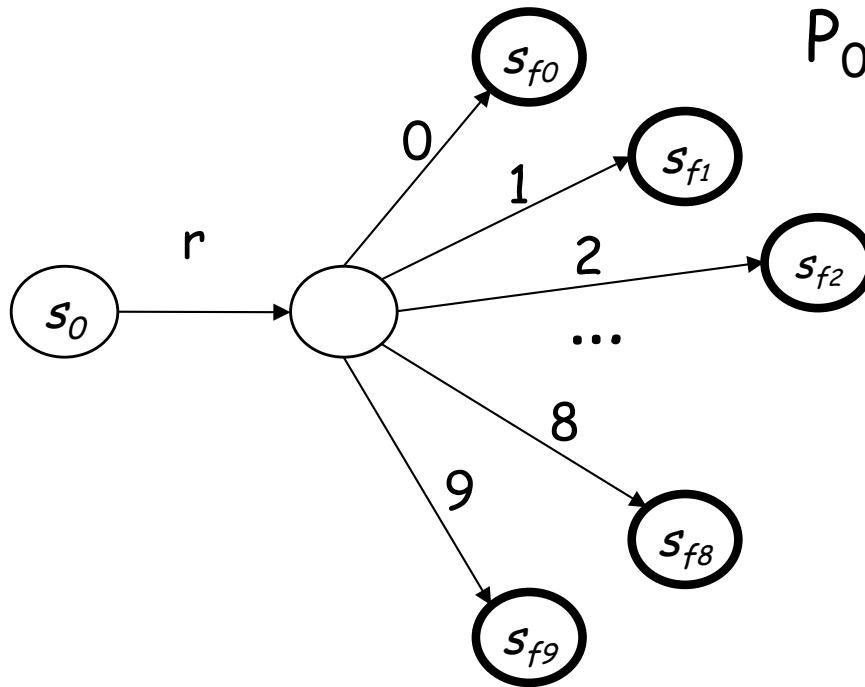




Abbreviated Register Specification

The subset construction builds

$$P_0 = \{\{s_{f0}, s_{f1}, s_{f2}, \dots, s_{f8}, s_{f9}\}, s_0\}$$



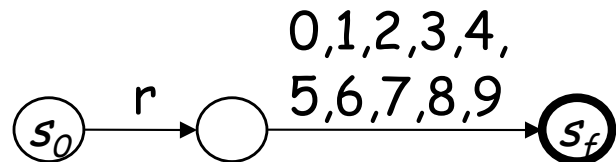
The Cycle of Constructions





Abbreviated Register Specification

The DFA minimization algorithm builds



This looks like what a skilled compiler writer would do!

The Cycle of Constructions

