

## Solving Problems by Searching (Blindly)

R&N: Chap. 3

(many of these slides borrowed from Stanford's AI Class)

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## Problem Solving Agents

- Decide what to do by finding a sequence of actions that lead to desirable states.

Example: Romania

- On holiday in Romania; currently in Arad.
- Flight leaves tomorrow from Bucharest

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## Problem Solving Agent

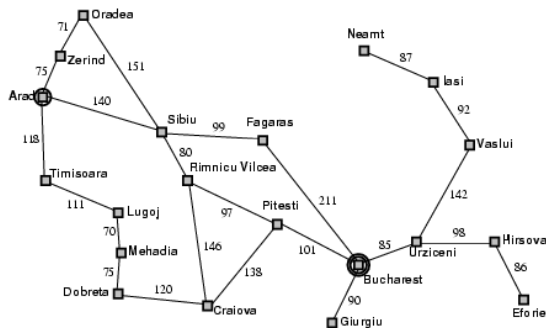
- **Formulate goal:**
  - be in Bucharest (in time for flight the next day)
  - Goal formulation is the decision of what you are going to search for - helps us simplify our methods for finding a solution
- **Formulate problem:** decide what actions, states to consider given a goal
  - **states:** map with agent in a particular city (location)
  - **actions:** drive between cities (if there is a road)<sub>3</sub>

## Finding a solution...

- Take a road from where I am and see if it takes me to Bucharest...
- Three roads leave Arad, but none go to Bucharest...

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## Example: Romania



## Single-state problem formulation

A **problem** is defined by three (four) items:

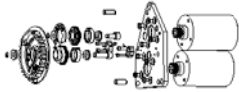
1. **initial state** e.g., "at Arad"
  2. **actions** or **successor function**  $S(x)$  = set of precondition-action pairs where the action returns a state
    - e.g.,  $S(\text{at Arad}) = \{\text{at Arad} \rightarrow (\text{at Zerind}, \dots)\}$
  3. **goal test**, can be
    - **explicit**, e.g.,  $x = \text{"at Bucharest"}$
    - **implicit**, e.g.,  $\text{Checkmate}(x)$
  4. **path cost** (additive)
    - e.g., sum of distances, number of actions executed, etc.
    - $c(x,a,y)$  is the **step cost**, assumed to be  $\geq 0$
- A **solution** is a sequence of actions leading from the initial state to a goal state

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## State Space

- Each state is an **abstract** representation of a collection of possible worlds sharing some crucial properties and differing on non-important details only

E.g.: In assembly planning, a state does not define exactly the absolute position of each part

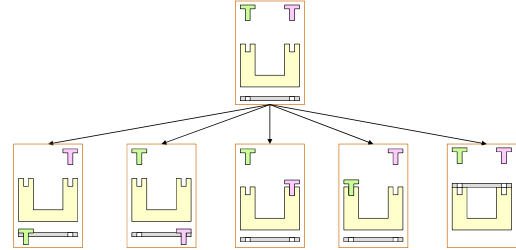


- The state space is **discrete**. It may be finite, or infinite and is implicit in the problem formulation.

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## Successor Function

- It implicitly represents all the actions that are feasible in each state



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## Successor Function

- It implicitly represents all the actions that are feasible in each state
- Only the results of the actions (the successor states) and their costs are returned by the function
- The successor function is a "black box": its content is unknown

E.g., in assembly planning, the function does not say if it only allows two sub-assemblies to be merged or if it makes assumptions about subassembly stability

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## Path Cost

- An arc cost is a positive number measuring the "cost" of performing the action corresponding to the arc, e.g.:
  - 1 in the 8-puzzle example
  - expected time to merge two sub-assemblies
- We will assume that for any given problem the cost  $c$  of an arc always verifies:  $c \geq \epsilon > 0$ , where  $\epsilon$  is a constant [This condition guarantees that, if path becomes arbitrarily long, its cost also becomes arbitrarily large.]

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## Goal State

- It may be explicitly described:

1	2	3
4	5	6
7	8	

- or partially described:

1	a	a
a	5	a
a	8	a

("a" stands for "any")

- or defined by a condition, e.g., the sum of every row, of every column, and of every diagonals equals 30

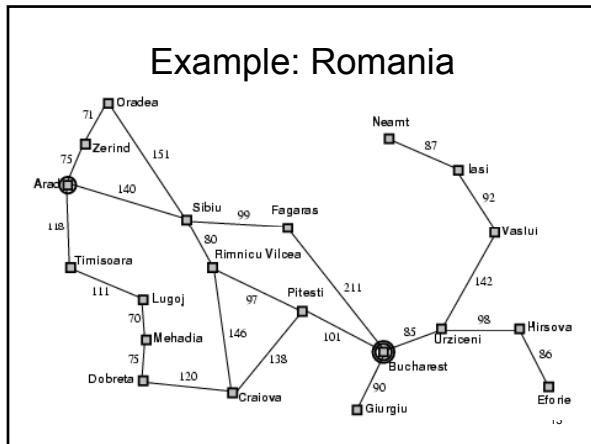
15	1	2	12
4	10	9	7
8	6	5	11
3	13	14	

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## Example: Romania

- On holiday in Romania; currently in Arad.
- Flight leaves tomorrow from Bucharest
- Formulate goal:**
  - be in Bucharest
- Formulate problem:**
  - **states:** being in various cities
  - **initial state:** being in Arad
  - **actions:** drive between cities
- Find solution:**
  - sequence of cities, e.g., Arad, Sibiu, Fagaras, Bucharest

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### Vacuum world state space graph

- states?
- Initial state?
- actions?
- goal test?
- path cost?

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### Vacuum world state space graph

- states? integer dirt and robot location
- Initial state? Dirt in both locations and the vacuum cleaner in one of them
- actions? Left, Right, Suck
- goal test? no dirt at all locations
- path cost? 1 per action

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### Example: The 8-puzzle

7	2	4
5		6
8	3	1

Start State

	1	2
3	4	5
6	7	8

Goal State

- states?
- Initial state?
- actions?
- goal test?
- path cost?

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### Example: The 8-puzzle

7	2	4
5		6
8	3	1

Start State

	1	2
3	4	5
6	7	8

Goal State

- states? locations of tiles
- Initial state? puzzle in the configuration above
- actions? move blank left, right, up, down
- goal test? = goal state (given)
- path cost? 1 per move

[Note: optimal solution of  $n$ -Puzzle family is NP-hard]

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### GO TO SLIDES

- DO WATERJUG PROBLEM
- Problem Formulation; Search algorithms

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## Assumptions in Basic Search

- The world is **static**
- The world is **discretizable**
- The world is **observable**
- The actions are **deterministic**

But many of these assumptions can be removed, and search still remains an important problem-solving tool

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## Searching the state

- So far we have talked about how a problem can be looked at so as to form search problems.
- How do we actually do the search?
- (Do search-algorithm slides...)

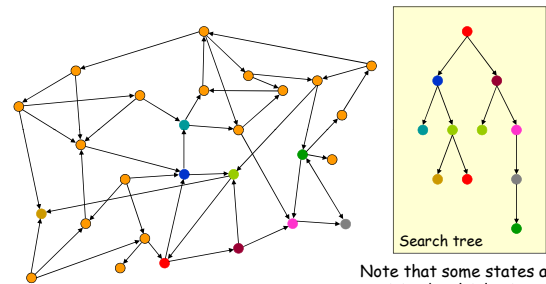
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## Simple Problem-Solving-Agent Agent Algorithm

1.  $s_0 \leftarrow$  sense/read state
2.  $GOAL? \leftarrow$  select/read goal test
3.  $SUCCESSORS \leftarrow$  read successor function
4. solution  $\leftarrow$  **search**( $s_0, G, Succ$ )
5. perform(solution)

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## Searching the State Space



Note that some states are visited multiple times

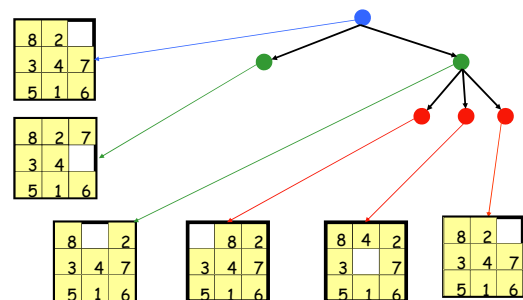
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## Basic Search Concepts

- Search tree
- Search node
- Node expansion
- Fringe of search tree
- **Search strategy**: At each stage it determines which node to expand

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## Search Nodes $\neq$ States



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### Search Nodes $\neq$ States

If states are allowed to be revisited, the search tree may be infinite even when the state space is finite

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### Data Structure of a Node

Action	Right
Depth	5
Path-Cost	5
Expanded	yes

Depth of a node  $N$  = length of path from root to  $N$   
(Depth of the root = 0)

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### Node expansion

The **expansion** of a node  $N$  of the search tree consists of:

- 1) Evaluating the successor function on  $STATE(N)$
- 2) Generating a child of  $N$  for each state returned by the function

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### Fringe and Search Strategy

- The **fringe** is the set of all search nodes that haven't been expanded yet

Is it identical to the set of leaves?

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### Fringe and Search Strategy

- The **fringe** is the set of all search nodes that haven't been expanded yet
- It is implemented as a **priority queue FRINGE**
  - $INSERT(node, FRINGE)$
  - $REMOVE(FRINGE)$
- The ordering of the nodes in **FRINGE** defines the **search strategy**

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### Search Algorithm

1. If  $GOAL?(initial-state)$  then return initial-state
2.  $INSERT(initial-node, FRINGE)$
3. Repeat:
  - a. If  $empty(FRINGE)$  then return **failure**
  - b.  $n \leftarrow REMOVE(FRINGE)$
  - c.  $s \leftarrow STATE(n)$
  - d. If  $GOAL?(s')$  then return **path or goal state**
  - e. For every state  $s'$  in  $SUCCESSORS(s)$ 
    - i. Create a new node  $n'$  as a child of  $n$
    - ii.  $INSERT(n', FRINGE)$

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## Performance Measures

- **Completeness**  
A search algorithm is complete if it finds a solution whenever one exists  
[What about the case when no solution exists?]
- **Optimality**  
A search algorithm is optimal if it returns a minimum-cost path whenever a solution exists  
[Other optimality measures are possible]
- **Complexity**  
It measures the time and amount of memory required by the algorithm

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## Important Parameters

- 1) Maximum number of successors of any state  
→ **branching factor  $b$**  of the search tree
- 2) Minimal length of a path between the initial and a goal state  
→ **depth  $d$**  of the shallowest goal node in the search tree

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## Important Remark

- Some search problems, such as the  $(n^2-1)$ -puzzle, are NP-hard
- One can't expect to solve all instances of such problems in less than exponential time
- One may still strive to solve each instance as efficiently as possible

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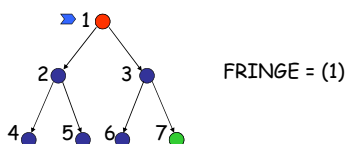
## Blind Strategies

- **Breadth-first**
  - Bidirectional
- **Depth-first**
  - Depth-limited
  - Iterative deepening
- **Uniform-Cost**  
(variant of breadth-first)
  - **Arc cost =  $c(\text{action}) \geq \epsilon > 0$**

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## Breadth-First Strategy

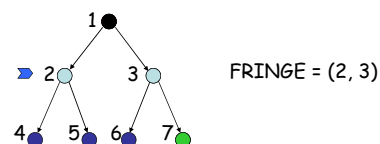
New nodes are inserted **at the end** of FRINGE



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## Breadth-First Strategy

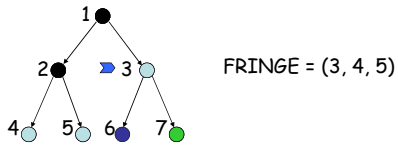
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## Breadth-First Strategy

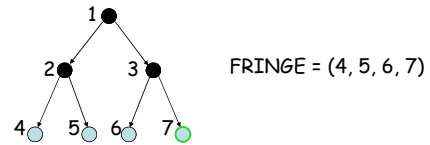
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## Breadth-First Strategy

New nodes are inserted **at the end** of FRINGE



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## Evaluation

- $b$ : branching factor
- $d$ : depth of shallowest goal node
- Breadth-first search is:
  - Complete
  - Optimal if step cost is 1
- Number of nodes generated:  
 $1 + b + b^2 + \dots + b^d = (b^{d+1} - 1) / (b - 1) = O(b^d)$
- $\rightarrow$  Time and space complexity is  $O(b^d)$

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## Big O Notation

$g(n) = O(f(n))$  if there exist two positive constants  $a$  and  $N$  such that:

for all  $n > N$ :  $g(n) \leq a \times f(n)$

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## Time and Memory Requirements

$d$	# Nodes	Time	Memory
2	111	.01 msec	11 Kbytes
4	11,111	1 msec	1 Mbyte
6	$\sim 10^6$	1 sec	100 Mb
8	$\sim 10^8$	100 sec	10 Gbytes
10	$\sim 10^{10}$	2.8 hours	1 Tbyte
12	$\sim 10^{12}$	11.6 days	100 Tbytes
14	$\sim 10^{14}$	3.2 years	10,000 Tbytes

Assumptions:  $b = 10$ ; 1,000,000 nodes/sec; 100bytes/node

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## Time and Memory Requirements

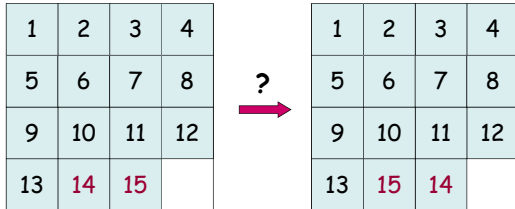
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Assumptions:  $b = 10$ ; 1,000,000 nodes/sec; 100bytes/node

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### Remark

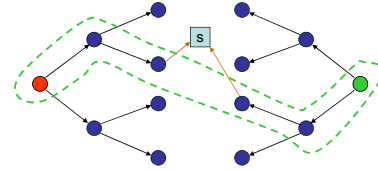
If a problem has no solution, breadth-first may run for ever (if the state space is infinite or states can be revisited arbitrary many times)



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### Bidirectional Strategy

2 fringe queues: FRINGE1 and FRINGE2



Time and space complexity is  $O(b^{d/2}) \ll O(b^d)$  if both trees have the same branching factor  $b$

Question: What happens if the branching factor is different in each direction?

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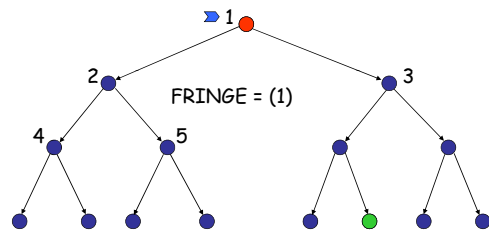
### Bidirectional Search

- Search forward from the start state and backward from the goal state simultaneously and stop when the two searches meet in the middle.
- If branching factor= $b$ , and solution at depth  $d$ , then  $O(2b^{d/2})$  steps.
- $B=10, d=6$  then BFS needs 1,111,111 nodes and bidirectional needs only 2,222.

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### Depth-First Strategy

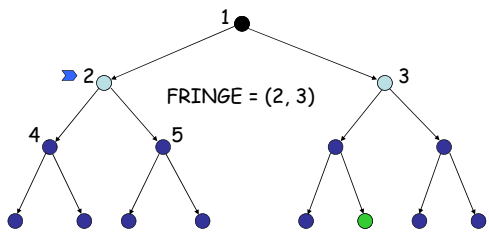
New nodes are inserted **at the front** of FRINGE



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### Depth-First Strategy

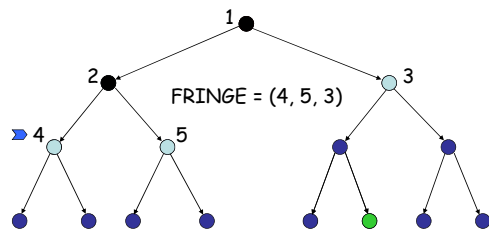
New nodes are inserted **at the front** of FRINGE



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### Depth-First Strategy

New nodes are inserted **at the front** of FRINGE

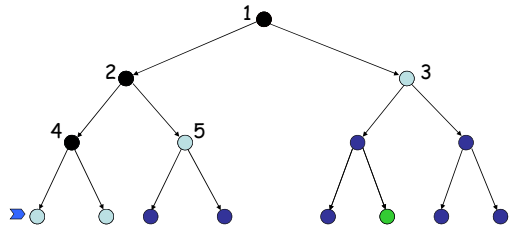


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### Depth-First Strategy

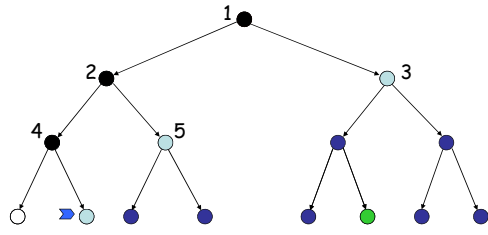
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### Depth-First Strategy

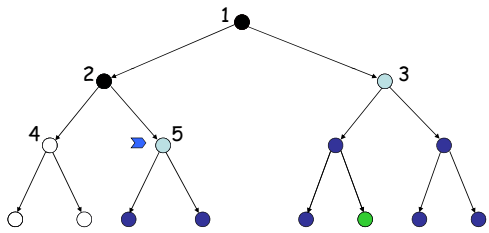
New nodes are inserted **at the front** of FRINGE



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### Depth-First Strategy

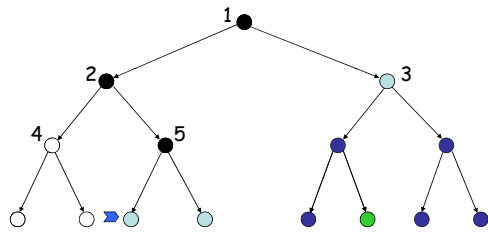
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### Depth-First Strategy

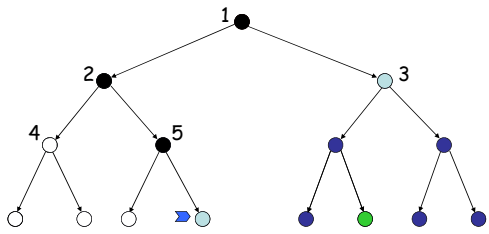
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### Depth-First Strategy

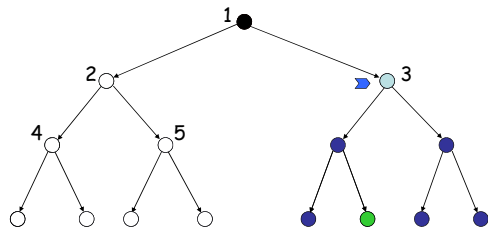
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### Depth-First Strategy

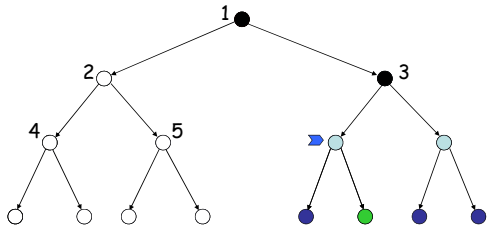
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## Depth-First Strategy

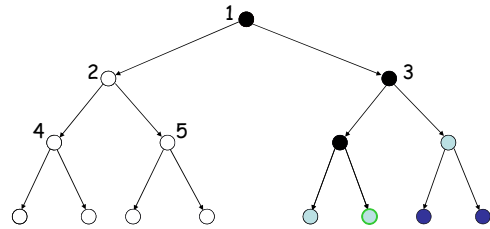
New nodes are inserted **at the front** of FRINGE



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## Depth-First Strategy

New nodes are inserted **at the front** of FRINGE



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## Evaluation

- $b$ : branching factor
  - $d$ : depth of shallowest goal node
  - $m$ : maximal depth of a leaf node
  - Depth-first search is:
    - Complete only for finite search tree
    - Not optimal
  - Number of nodes generated:  $1 + b + b^2 + \dots + b^m = O(b^m)$
  - Time complexity is  $O(b^m)$
  - Space complexity is  $O(bm)$  [or  $O(m)$ ]
- [Reminder: Breadth-first requires  $O(b^d)$  time and space]

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## Depth-Limited Search

- Depth-first with **depth cutoff**  $k$  (depth below which nodes are not expanded)
- Three possible outcomes:
  - Solution
  - Failure (no solution)
  - Cutoff (no solution within cutoff)

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## Iterative Deepening Search

Provides the best of both breadth-first and depth-first search

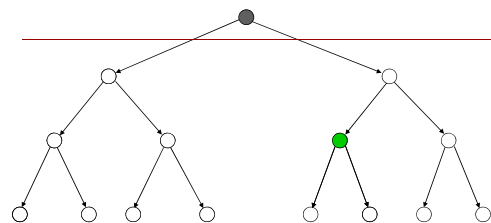
Main idea: Totally horrifying !

IDS

For  $k = 0, 1, 2, \dots$  do:  
 Perform depth-first search with depth cutoff  $k$

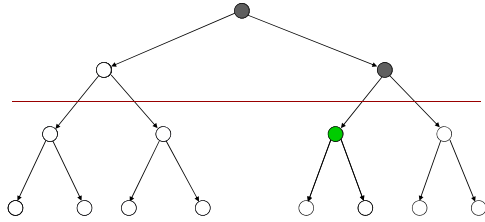
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## Iterative Deepening



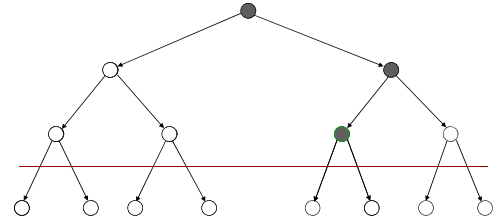
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## Iterative Deepening



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## Iterative Deepening



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## Iterative deepening search

```
function ITERATIVE-DEEPENING-SEARCH(problem) returns a solution, or failure
  inputs: problem, a problem
  for depth ← 0 to ∞ do
    result ← DEPTH-LIMITED-SEARCH(problem, depth)
    if result ≠ cutoff then return result
```

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## Iterative deepening search $l=0$



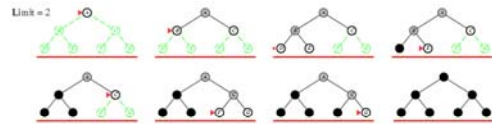
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## Iterative deepening search $l=1$



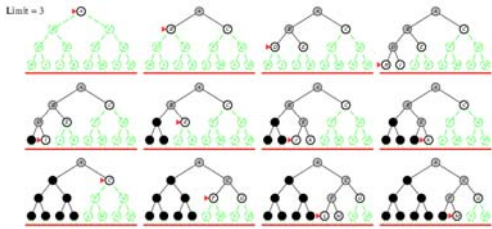
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## Iterative deepening search $l=2$



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## Iterative deepening search $l=3$



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## Iterative deepening search

- Number of nodes generated in a depth-limited search to depth  $d$  with branching factor  $b$ :

$$N_{DLS} = b^0 + b^1 + b^2 + \dots + b^{d-2} + b^{d-1} + b^d$$

- Number of nodes generated in an iterative deepening search to depth  $d$  with branching factor  $b$ :

$$N_{IDS} = (d+1)b^0 + d b^1 + (d-1)b^2 + \dots + 3b^{d-2} + 2b^{d-1} + 1b^d$$

- For  $b = 10, d = 5$ ,
  - $N_{DLS} = 1 + 10 + 100 + 1,000 + 10,000 + 100,000 = 111,111$
  - $N_{IDS} = 6 + 50 + 400 + 3,000 + 20,000 + 100,000 = 123,456$
- Overhead =  $(123,456 - 111,111)/111,111 = 11\%$

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## Properties of iterative deepening search

Complete? Yes

Time?  $(d+1)b^0 + d b^1 + (d-1)b^2 + \dots + b^d = O(b^d)$

Space?  $O(bd)$

Optimal? Yes, if step cost = 1

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## Performance

- Iterative deepening search is:
  - Complete
  - Optimal if step cost = 1
- Time complexity is:  $(d+1)(1) + db + (d-1)b^2 + \dots + (1) b^d = O(b^d)$
- Space complexity is:  $O(bd)$  or  $O(d)$

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## Calculation

$$\begin{aligned} & db + (d-1)b^2 + \dots + (1) b^d \\ &= b^d + 2b^{d-1} + 3b^{d-2} + \dots + db \\ &= (1 + 2b^{-1} + 3b^{-2} + \dots + db^{-d}) \times b^d \\ &\leq (\sum_{i=1, \dots, \infty} ib^{(1-i)}) \times b^d = b^d (b/(b-1))^2 \end{aligned}$$

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## Number of Generated Nodes (Breadth-First & Iterative Deepening)

$d = 5$  and  $b = 2$

BF	ID
1	$1 \times 6 = 6$
2	$2 \times 5 = 10$
4	$4 \times 4 = 16$
8	$8 \times 3 = 24$
16	$16 \times 2 = 32$
32	$32 \times 1 = 32$
<b>63</b>	<b>120</b>

$120/63 \sim 2$

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## Number of Generated Nodes (Breadth-First & Iterative Deepening)

$d = 5$  and  $b = 10$

BF	ID
1	6
10	50
100	400
1,000	3,000
10,000	20,000
100,000	100,000
111,111	123,456

$123,456 / 111,111 \sim 1.111$

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## Comparison of Strategies

- Breadth-first is complete and optimal, but has high space complexity
- Depth-first is space efficient, but is neither complete, nor optimal
- Iterative deepening is complete and optimal, with the same space complexity as depth-first and almost the same time complexity as breadth-first

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## Summary of algorithms

Criterion	Breadth-First	Uniform-Cost	Depth-First	Depth-Limited	Iterative Deepening
Complete?	Yes	Yes	No	No	Yes
Time	$O(b^{d+1})$	$O(b^{C^*/\epsilon})$	$O(b^m)$	$O(b^l)$	$O(b^d)$
Space	$O(b^{d+1})$	$O(b^{C^*/\epsilon})$	$O(bm)$	$O(b)$	$O(bd)$
Optimal?	Yes	Yes	No	No	Yes

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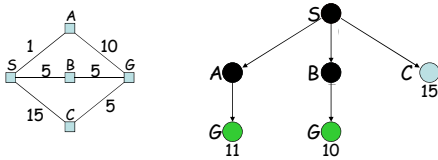
## Avoiding Revisited States

- Let's not worry about it yet... but generally we will have to be careful to avoid states we have already seen...

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## Uniform-Cost Search

- Each arc has some cost  $c \geq \epsilon > 0$
- The cost of the path to each fringe node  $N$  is  $g(N) = \sum \text{costs of arcs}$
- The goal is to generate a solution path of minimal cost
- The queue FRINGE is sorted in **increasing cost**



- Need to modify search algorithm

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