

Context Free Grammars Chapter 12 (Much influenced by Owen Rambow)

Lecture #5

September 2012

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Introduction to Syntax and Context-Free Grammars

<http://www1.cs.columbia.edu/~rambow/teaching/lecture-2009-09-22.ppt>

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Syntactic Grammaticality

Doesn't depend on

- Having heard the sentence before
- The sentence being true
 - I saw a unicorn yesterday
- The sentence being meaningful
 - Colorless green ideas sleep furiously
 - *Furiously sleep ideas green colorless
 - I sperred a couple of gurpy fipps.

Grammaticality is a formal property that we can investigate and describe

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Syntax

By syntax, we mean various aspects of how words are strung together to form components of sentences and how those components are strung together to form sentences

- New Concept: Constituency
- Groups of words may behave as a single unit or constituent
- E.g., noun phrases
- Evidence
 - Whole group appears in similar syntactic environment
 - E.g., before a verb
 - Preposed/postposed constructions
 - Note: notions of meaning play no role in syntax (sort-of)

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What is Syntax?

- Study of structure of language
- Specifically, goal is to relate surface form (e.g., interface to phonological component) to semantics (e.g., interface to semantic component)
- Morphology, phonology, semantics farmed out (mainly), issue is word order and structure
- Representational device is **tree structure**

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What About Chomsky?

- At birth of formal language theory (comp sci) and formal linguistics
- Major contribution: syntax is **cognitive** reality
- Humans able to learn languages quickly, but not all languages ⇒ **universal grammar** is biological
- Goal of syntactic study: find universal **principles and** language-specific **parameters**
- Specific Chomskyan theories change regularly
- These ideas adopted by almost all contemporary syntactic theories ("principles-and-parameters-type theories")

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Types of Linguistic Activity

- **Descriptive**: provide account of syntax of a language; often good enough for NLP engineering work
- **Explanatory**: provide principles-and-parameters style account of syntax of (preferably) several languages
- **Prescriptive**: "prescriptive linguistics" is an oxymoron

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key ideas of syntax

- Constituency (we'll spend most of our time on this)
- Subcategorization
- Grammatical relations
- Movement/long-distance dependency

Structure in Strings

- Some words: *the a small nice big very boy girl sees likes*
- Some good sentences:
 - the boy likes a girl
 - the small girl likes the big girl
 - a very small nice boy sees a very nice boy
- Some bad sentences:
 - *the boy the girl
 - *small boy likes nice girl
- Can we find subsequences of words (**constituents**) which in some way behave alike?

Structure in Strings Proposal 1

- Some words: *the a small nice big very boy girl sees likes*
- Some good sentences:
 - (the) boy (likes a girl)
 - (the small) girl (likes the big girl)
 - (a very small nice) boy (sees a very nice boy)
- Some bad sentences:
 - *(the) boy (the girl)
 - *(small) boy (likes the nice girl)

Structure in Strings Proposal 2

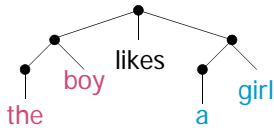
- Some words: *the a small nice big very boy girl sees likes*
- Some good sentences:
 - (the boy) likes (a girl)
 - (the small girl) likes (the big girl)
 - (a very small nice boy) sees (a very nice boy)
- Some bad sentences:
 - *(the boy) (the girl)
 - *(small boy) likes (the nice girl)
- This is better proposal: fewer types of constituents (blue and red are of same type)

More Structure in Strings Proposal 2 -- ctd

- Some words: *the a small nice big very boy girl sees likes*
- Some good sentences:
 - ((the) boy) likes ((a) girl)
 - ((the) (small) girl) likes ((the) (big) girl)
 - ((a) ((very) small) (nice) boy) sees ((a) ((very) nice) girl)
- Some bad sentences:
 - *((the) boy) ((the) girl)
 - *((small) boy) likes ((the) (nice) girl)

From Substrings to Trees

- ((the) boy) likes ((a) girl)

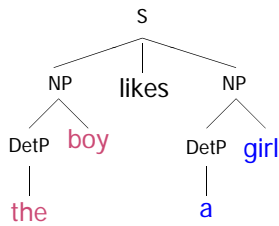


Node Labels?

- ((the) boy) likes ((a) girl)
- Choose constituents so each one has one non-bracketed word: the **head**
- Group words by distribution of constituents they head (part-of-speech, POS):
 - Noun (N), verb (V), adjective (Adj), adverb (Adv), determiner (Det)
- Category of constituent: XP, where X is POS
 - NP, S, AdjP, AdvP, DetP

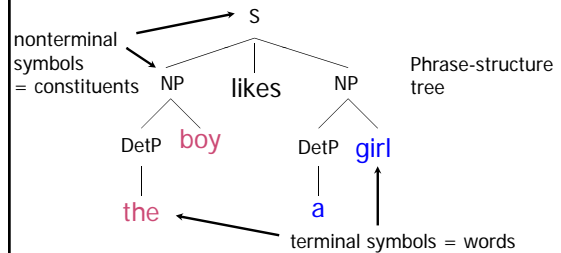
Node Labels

- ((the/det) boy/N) likes/v ((a/det) girl/N)



Types of Nodes

- ((the/det) boy/N) likes/v ((a/det) girl/N)



Determining Part-of-Speech

A **blue** seat/a **child** seat: noun or adjective?

– Syntax:

- a **blue** seat a **child** seat
- a very **blue** seat *a very **child** seat
- this seat is **blue** *this seat is **child**

– Morphology:

- bluer *childer

– **blue** and **child** are not the same POS

– **blue** is Adj, **child** is Noun

Determining Part-of-Speech (2)

– preposition or particle?

- A he threw **out** the garbage
- B he threw the garbage **out** the door

- A he threw the garbage **out**
- B *he threw the garbage the door **out**

- The two **out** are not same POS; A is particle, B is Preposition

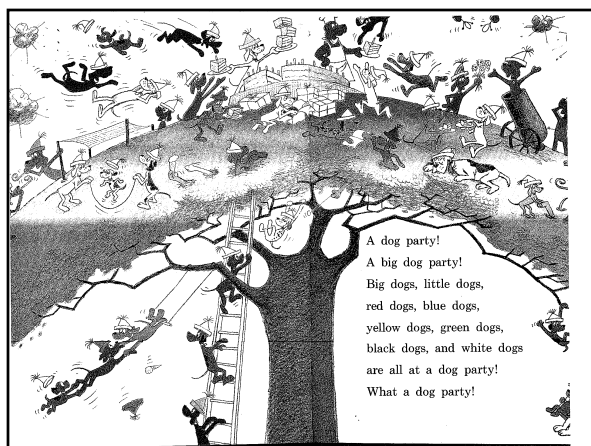
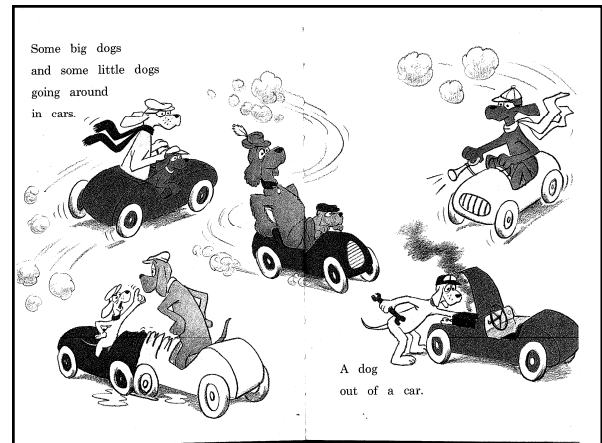
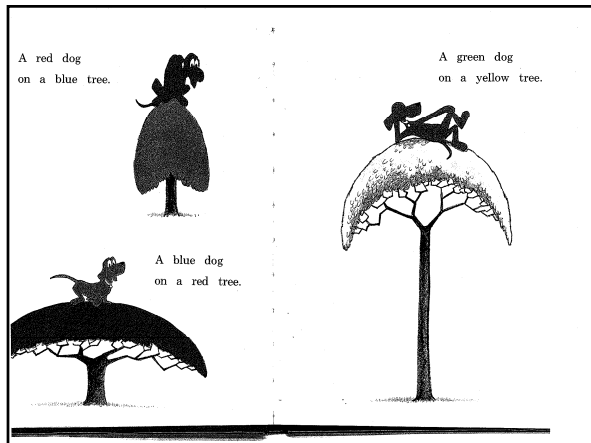
Word Classes (=POS)

- Heads of constituents fall into distributionally defined classes
- Additional support for class definition of word class comes from morphology

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Constituency (Review)

- E.g., Noun phrases (NPs)
 - A red dog on a blue tree
 - A blue dog on a red tree
 - Some big dogs and some little dogs
 - A dog
 - I
 - Big dogs, little dogs, red dogs, blue dogs, yellow dogs, green dogs, black dogs, and white dogs
- How do we know these form a constituent?

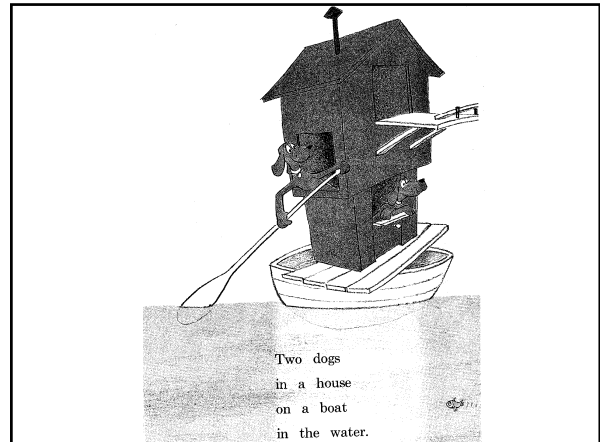


Constituency (II)

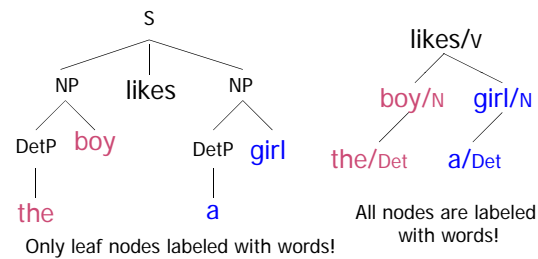
- They can all appear before a verb:
 - Some big dogs and some little dogs **are going around** in cars...
 - Big dogs, little dogs, red dogs, blue dogs, yellow dogs, green dogs, black dogs, and white dogs **are all** at a dog party!
 - I **do not**
- But individual words can't always appear before verbs:
 - *little **are going**...
 - *blue **are**...
 - *and **are**
- Must be able to state generalizations like:
 - **Noun phrases occur before verbs**

Constituency (III)

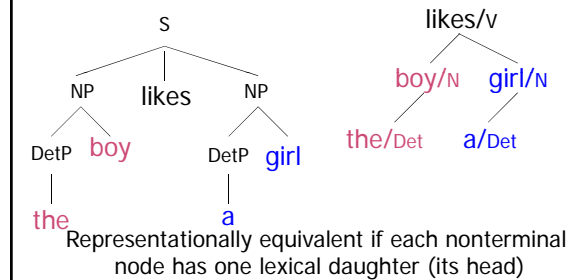
- Preposing and postposing:
 - Under a tree is a yellow dog.
 - A yellow dog is under a tree.
- But not:
 - *Under, is a yellow dog a tree.
 - *Under a is a yellow dog tree.
- Prepositional phrases notable for ambiguity in attachment



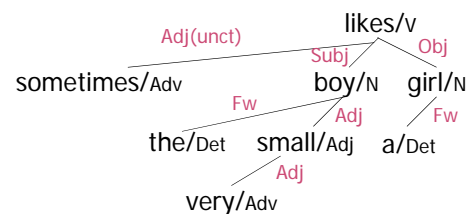
Phrase Structure and Dependency Structure



Phrase Structure and Dependency Structure (ctd)



Types of Dependency



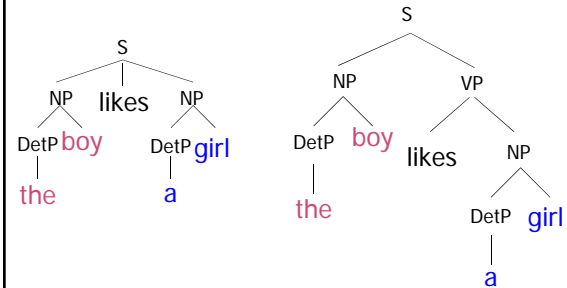
Grammatical Relations

- Types of relations between words
 - Arguments: subject, object, indirect object, prepositional object
 - Adjuncts: temporal, locative, causal, manner, ...
 - Function Words

Subcategorization

- List of arguments of a word (typically, a verb), with features about realization (POS, perhaps case, verb form etc)
- In canonical order Subject-Object-IndObj
- Example:
 - like: N-N, N-V(to-inf)
 - see: N, N-N, N-N-V(Inf)
- Note: J&M talk about subcategorization only within VP

What About the VP?



What About the VP?

- Existence of VP is a linguistic (i.e., empirical) claim, not a methodological claim
- Semantic evidence???
- Syntactic evidence
 - VP-fronting (*and quickly clean the carpet he did!*)
 - VP-ellipsis (*He cleaned the carpets quickly, and so did she*)
 - Can have adjuncts before and after VP, but not in VP (*He often eats beans, *he eats often beans*)
- Note: VP cannot be represented in a dependency representation

Context-Free Grammars

- Defined in formal language theory (comp sci)
- Terminals, nonterminals, start symbol, rules
- String-rewriting system
- Start with start symbol, rewrite using rules, done when only terminals left
- NOT A LINGUISTIC THEORY, just a formal device

CFG: Example

- Many possible CFGs for English, here is an example (fragment):
 - $S \rightarrow NP VP$
 - $VP \rightarrow V NP$
 - $NP \rightarrow DetP N \mid AdjP NP$
 - $AdjP \rightarrow Adj \mid Adv AdjP$
 - $N \rightarrow boy \mid girl$
 - $V \rightarrow sees \mid likes$
 - $Adj \rightarrow big \mid small$
 - $Adv \rightarrow very$
 - $DetP \rightarrow a \mid the$

the very small boy likes a girl

Derivations in a CFG

S

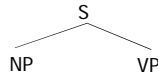
S

$S \rightarrow NP VP$
 $VP \rightarrow V NP$
 $NP \rightarrow DetP N \mid AdjP NP$
 $AdjP \rightarrow Adj \mid Adv AdjP$
 $N \rightarrow boy \mid girl$
 $V \rightarrow sees \mid likes$
 $Adj \rightarrow big \mid small$
 $Adv \rightarrow very$
 $DetP \rightarrow a \mid the$

Derivations in a CFG

NP VP

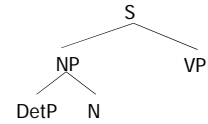
S → NP VP
 VP → V NP
 NP → DetP N | AdjP NP
 AdjP → Adj | Adv AdjP
 N → boy | girl
 V → sees | likes
 Adj → big | small
 Adv → very
 DetP → a | the



Derivations in a CFG

DetP N VP

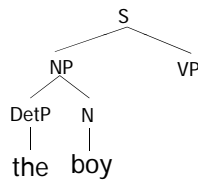
S → NP VP
 VP → V NP
 NP → DetP N | AdjP NP
 AdjP → Adj | Adv AdjP
N → boy | girl
 V → sees | likes
 Adj → big | small
 Adv → very
DetP → a | the



Derivations in a CFG

the boy VP

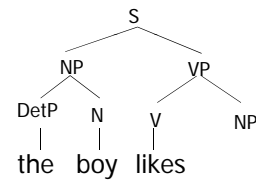
S → NP VP
VP → V NP
 NP → DetP N | AdjP NP
 AdjP → Adj | Adv AdjP
 N → boy | girl
V → sees | likes
 Adj → big | small
 Adv → very
 DetP → a | the



Derivations in a CFG

the boy likes NP

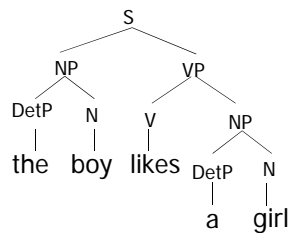
S → NP VP
 VP → V NP
NP → DetP N | AdjP NP
 AdjP → Adj | Adv AdjP
N → boy | girl
 V → sees | likes
 Adj → big | small
 Adv → very
DetP → a | the



Derivations in a CFG

the boy likes a girl

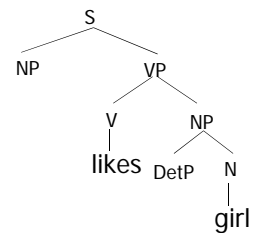
S → NP VP
 VP → V NP
 NP → DetP N | AdjP NP
 AdjP → Adj | Adv AdjP
 N → boy | girl
 V → sees | likes
 Adj → big | small
 Adv → very
 DetP → a | the



Derivations in a CFG; Order of Derivation Irrelevant

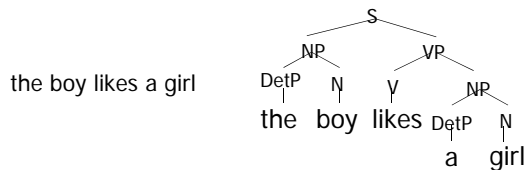
NP likes DetP girl

S → NP VP
 VP → V NP
 NP → DetP N | AdjP NP
 AdjP → Adj | Adv AdjP
 N → boy | girl
 V → sees | likes
 Adj → big | small
 Adv → very
 DetP → a | the



Derivations of CFGs

- String rewriting system: we derive a string (=derived structure)
- But derivation history represented by phrase-structure tree (=derivation structure)!



Formal Definition of a CFG

- $G = (V, T, P, S)$
- V: finite set of nonterminal symbols
 - T: finite set of terminal symbols, V and T are disjoint
 - P: finite set of productions of the form $A \rightarrow \alpha$, $A \in V$ and $\alpha \in (T \cup V)^*$
 - $S \in V$: start symbol

Context?

- The notion of context in CFGs has nothing to do with the ordinary meaning of the word context in language
- All it really means is that the non-terminal on the left-hand side of a rule is out there all by itself (free of context)
 $A \rightarrow B C$
 Means that I can rewrite an A as a B followed by a C regardless of the context in which A is found

Key Constituents (English)

- Sentences
- Noun phrases
- Verb phrases
- Prepositional phrases

Sentence-Types

- Declaratives: I do not.
 $S \rightarrow NP VP$
- Imperatives: Go around again!
 $S \rightarrow VP$
- Yes-No Questions: Do you like my hat?
 $S \rightarrow Aux NP VP$
- WH Questions: What are they going to do?
 $S \rightarrow WH Aux NP VP$

"Do you like my hat?"



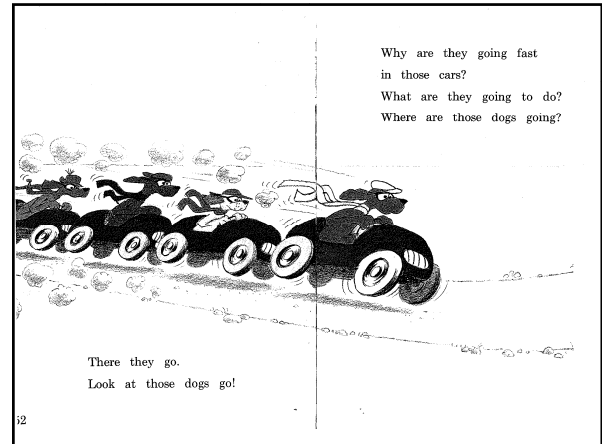
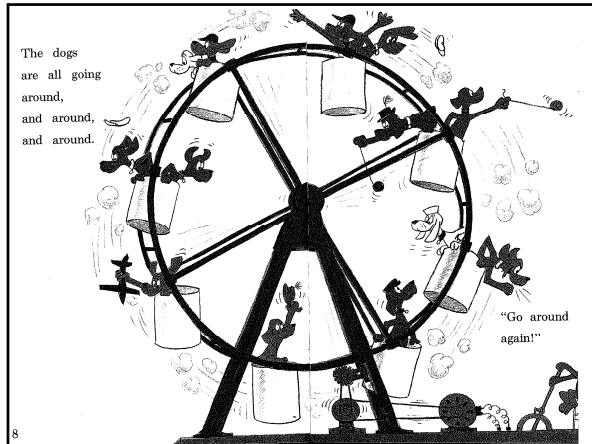
"I do not."

"Good-by!"



"Good-by!"



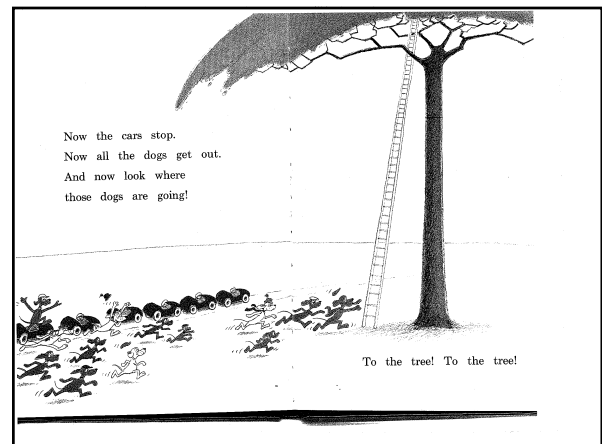
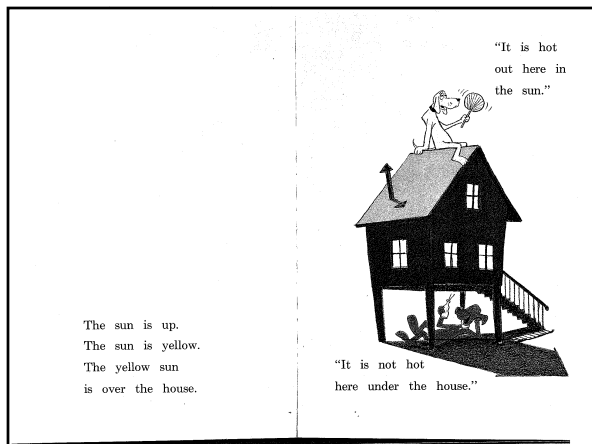


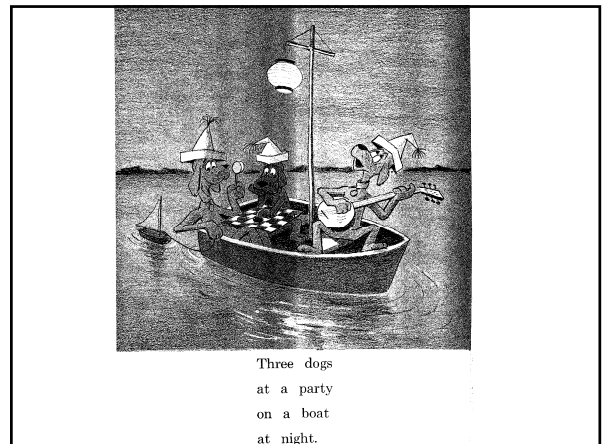
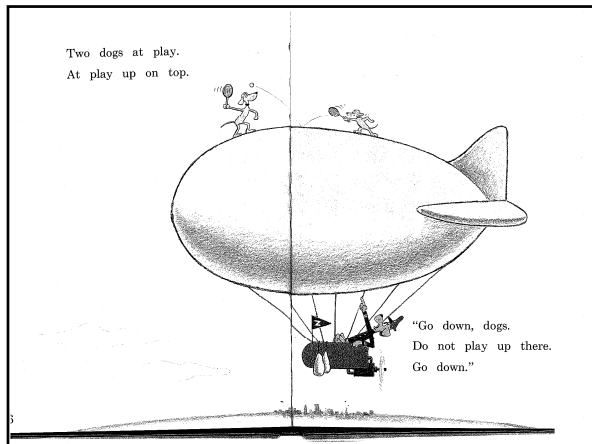
NPs

- NP -> Pronoun
 - I came, you saw it, they conquered
- NP -> Proper-Noun
 - New Jersey is west of New York City
 - Lee Bollinger is the president of Columbia
- NP -> Det Noun
 - The president
- NP -> Nominal
- Nominal -> Noun Noun
 - A morning flight to Denver

PPs

- PP -> Preposition NP
 - Over the house
 - Under the house
 - To the tree
 - At play
 - At a party on a boat at night





Recursion

- We'll have to deal with rules such as the following where the non-terminal on the left also appears somewhere on the right (directly)
 - NP → NP PP [[The flight] [to Boston]]
 - VP → VP PP [[departed Miami] [at noon]]
- (indirectly)
 - NP → NP Srel
 - Srel → NP VP [[the dog] [[the cat] likes]]

Recursion

- Of course, this is what makes syntax interesting

The dog bites
 The dog the mouse bit bites
 The dog the mouse the cat ate bit bites

Recursion

[[Flights] [from Denver]]
 [[[Flights] [from Denver]] [to Miami]]
 [[[[Flights] [from Denver]] [to Miami]] [in February]]
 [[[[[Flights] [from Denver]] [to Miami]] [in February]] [on a Friday]]
 Etc.

NP → NP PP

Implications of Recursion and Context-Freeness

- VP → V NP
- (I) hate
 - flights from Denver
 - flights from Denver to Miami
 - flights from Denver to Miami in February
 - flights from Denver to Miami in February on a Friday
 - flights from Denver to Miami in February on a Friday under \$300
 - flights from Denver to Miami in February on a Friday under \$300 with lunch
- This is why context-free grammars are appealing! If you have a rule like VP → V NP
 - It only cares that the thing after the verb is an NP
 - It doesn't have to know about the internal affairs of that NP

Grammar Equivalence

- Can have different grammars that generate same set of strings (weak equivalence)
 - Grammar 1: $NP \rightarrow DetP N$ and $DetP \rightarrow a \mid the$
 - Grammar 2: $NP \rightarrow a N \mid NP \rightarrow the N$
- Can have different grammars that have same set of derivation trees (strong equivalence)
 - With CFGs, possible only with useless rules
 - Grammar 2: $NP \rightarrow a N \mid NP \rightarrow the N$
 - Grammar 3: $NP \rightarrow a N \mid NP \rightarrow the N, DetP \rightarrow many$
- Strong equivalence implies weak equivalence

Normal Forms &c

- There are weakly equivalent normal forms (Chomsky Normal Form, Greibach Normal Form)
- There are ways to eliminate useless productions and so on

Chomsky Normal Form

A CFG is in Chomsky Normal Form (CNF) if all productions are of one of two forms:

- $A \rightarrow BC$ with A, B, C nonterminals
- $A \rightarrow a$, with A a nonterminal and a a terminal

Every CFG has a weakly equivalent CFG in CNF

“Generative Grammar”

- Formal languages: formal device to generate a set of strings (such as a CFG)
- Linguistics (Chomskyan linguistics in particular): approach in which a linguistic theory enumerates all possible strings/structures in a language (=competence)
- Chomskyan theories do not really use formal devices – they use CFG + informally defined transformations

Nobody Uses Simple CFGs (Except Intro NLP Courses)

- All major syntactic theories (Chomsky, LFG, HPSG, TAG-based theories) represent both phrase structure and dependency, in one way or another
- All successful parsers currently use statistics about phrase structure and about dependency
- Derive dependency through “head percolation”: for each rule, say which daughter is head

Massive Ambiguity of Syntax

- For a standard sentence, and a grammar with wide coverage, there are 1000s of derivations!
- Example:
 - The large portrait painter told the delegation that he sent money orders in a letter on Wednesday

Penn Treebank (PTB)

- Syntactically annotated corpus of newspaper texts (phrase structure)
- The newspaper texts are naturally occurring data, but the PTB is **not!**
- PTB annotation represents a particular linguistic theory (but a fairly “vanilla” one)
- Particularities
 - Very indirect representation of grammatical relations (need for head percolation tables)
 - Completely flat structure in NP (*brown bag lunch, pink-and-yellow child seat*)
 - Has flat Ss, flat VPs

Example from PTB

```
{ (S (NP-SBJ It)
  (VP 's
    (NP-PRD (NP (NP the latest investment craze)
      (VP sweeping
        (NP Wall Street)))
      :
      (NP (NP a rash)
        (PP of
          (NP (NP new closed-end country funds)
            (NP (NP those
              (ADJP publicly traded)
                portfolios)
              (SBAR (WHNP-37 that)
                (S (NP-SBJ *t*-37)
                  (VP invest
                    (PP-CLR in
                      (NP (NP stocks)
                        (PP of
                          (NP a single foreign country))))))))))))))
```

Types of syntactic constructions

- Is this the same construction?
 - An elf **decided** to clean the kitchen
 - An elf **seemed** to clean the kitchen
- Is this the same construction?
 - An elf **decided** to be in the kitchen
 - An elf **seemed** to be in the kitchen

Types of syntactic constructions (ctd)

- Is this the same construction?
 - There is an elf in the kitchen
 - *There **decided** to be an elf in the kitchen
 - There **seemed** to be an elf in the kitchen
- Is this the same construction?
 - It is raining/it rains
 - ??It **decided** to rain/be raining
 - It **seemed** to rain/be raining

Types of syntactic constructions (ctd)

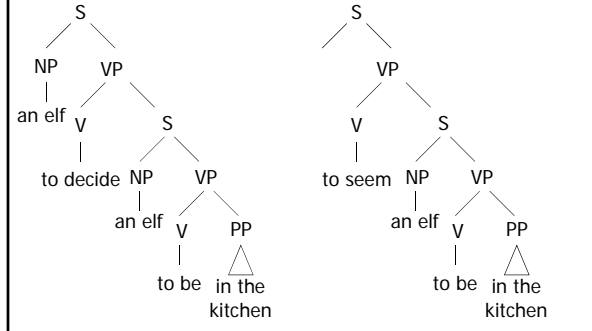
- Is this the same construction?
 - An elf **decided** that he would clean the kitchen
 - * An elf **seemed** that he would clean the kitchen
- An elf cleaned the kitchen

Types of syntactic constructions (ctd)

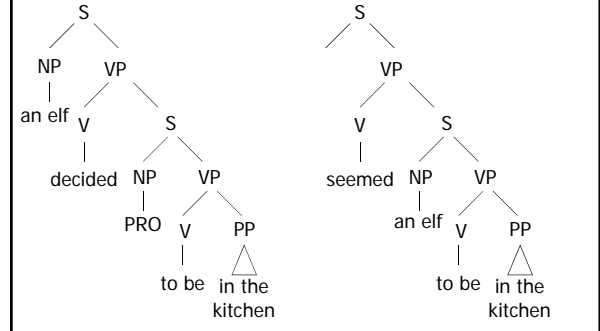
Conclusion:

- *to seem*: whatever is embedded surface subject can appear in upper clause
- *to decide*: only full nouns that are referential can appear in upper clause
- Two types of verbs

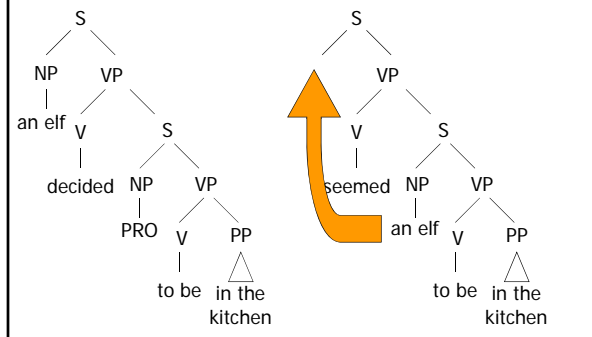
Types of syntactic constructions:
Analysis



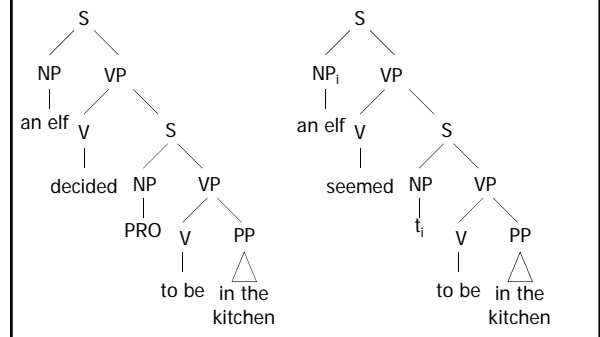
Types of syntactic constructions:
Analysis



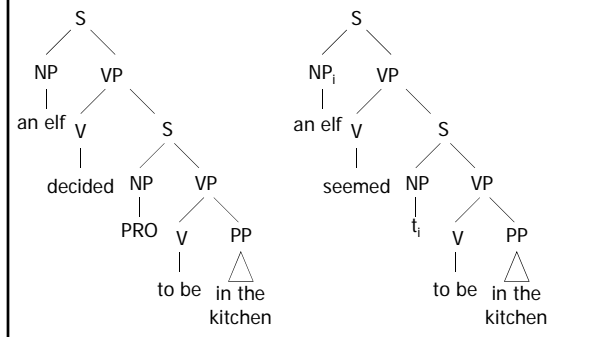
Types of syntactic constructions:
Analysis



Types of syntactic constructions:
Analysis



Types of syntactic constructions:
Analysis



Types of syntactic constructions:
Analysis

to seem: lower surface subject **raises** to upper clause; **raising verb**

- seems (there to be an elf in the kitchen)
- there seems (*t* to be an elf in the kitchen)
- it seems (there is an elf in the kitchen)

Types of syntactic constructions: Analysis (ctd)

- *to decide*: subject is in upper clause and co-refers with an empty subject in lower clause;
control verb

an elf decided (an elf to clean the kitchen)
 an elf decided (PRO to clean the kitchen)
 an elf decided (he cleans/should clean the kitchen)
 *it decided (an elf cleans/should clean the kitchen)

Lessons Learned from the Raising/Control Issue

- Use distribution of data to group phenomena into classes
- Use different underlying structure as basis for explanations
- Allow things to “move” around from underlying structure -> **transformational grammar**
- Check whether explanation you give makes predictions

Examples from PTB

(S (NP-SBJ-1 The ropes)
 (VP seem
 (S (NP-SBJ *-1)
 (VP to
 (VP make
 (NP much sound))))))

(S (NP-SBJ-1 The ancient church vicar)
 (VP refuses
 (S (NP-SBJ *-1)
 (VP to
 (VP talk
 (PP-CLR about
 (NP it))))))

The Big Picture

Formalisms

- Data structures
- Formalisms
- Algorithms
- Distributional Models



Linguistic Theory

- Content: Relate morphology to semantics
- Surface representation (eg, ps)
 - Deep representation (eg, dep)
 - Correspondence

Developing Grammars

- We saw with the previous example a complex structure
- Let's back off to simple English Structures and see how we would capture them with Context Free Grammars
- Developing a grammar of any size is difficult.

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Key Constituents (English)

- Sentences
- Noun phrases
- Verb phrases
- Prepositional phrases

See text for examples of these!

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Some NP Rules

- Here are some rules for our noun phrases

$NP \rightarrow Det\ Nominal$
 $NP \rightarrow ProperNoun$
 $Nominal \rightarrow Noun \mid Nominal\ Noun$

- Together, these describe two kinds of NPs.
 - One that consists of a determiner followed by a nominal
 - And another that says that proper names are NPs.
 - The third rule illustrates two things
 - An explicit disjunction
 - Two kinds of nominals
 - A recursive definition
 - Same non-terminal on the right and left-side of the rule

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LO Grammar

Grammar Rules	Examples
$S \rightarrow NP\ VP$	I + want a morning flight
$NP \rightarrow Pronoun$	I
$NP \rightarrow Proper-Noun$	Los Angeles
$NP \rightarrow Det\ Nominal$	a + flight
$Nominal \rightarrow Nominal\ Noun$	morning + flight
$Nominal \rightarrow Noun$	flights
$VP \rightarrow Verb$	do
$VP \rightarrow Verb\ NP$	want + a flight
$VP \rightarrow Verb\ NP\ PP$	leave + Boston + in the morning
$VP \rightarrow Verb\ PP$	leaving + on Thursday
$PP \rightarrow Preposition\ NP$	from + Los Angeles

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An English Grammar Fragment

- Sentences
- Noun phrases
 - Agreement
- Verb phrases
 - Subcategorization

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Common Sentence Types

- Declaratives: John left
 $S \rightarrow NP\ VP$
- Imperatives: Leave!
 $S \rightarrow VP$
- Yes-No Questions: Did John leave?
 $S \rightarrow Aux\ NP\ VP$
- WH Questions (who, what, where, when, which, why, how): When did John leave?
 $S \rightarrow WH\ Aux\ NP\ VP$

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Noun Phrases

- Let's consider the following rule in more detail...

$NP \rightarrow Det\ Nominal$

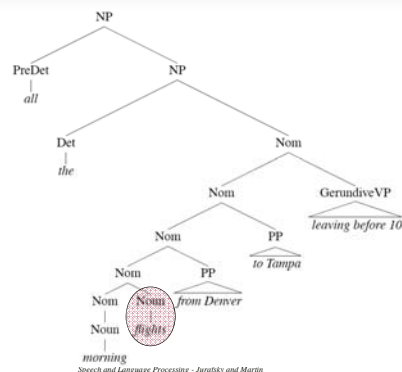
- Most of the complexity of English noun phrases is hidden in this rule.
- Consider the derivation for the following example
 - All the morning flights from Denver to Tampa leaving before 10

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Noun Phrases



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NP Structure

- Clearly this NP is really about *flights*. That's the central critical noun in this NP. Let's call that the *head*.
- We can dissect this kind of NP into the stuff that can come before the head, and the stuff that can come after it.

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Determiners

- Noun phrases can start with determiners...
- Determiners can be
 - Simple lexical items: *the, this, a, an*, etc.
 - A car
 - Or simple possessives
 - John's car
 - Or complex recursive versions of that
 - John's sister's husband's son's car

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Nominals

- Contains the head and any pre- and post-modifiers of the head.
 - Pre-
 - Quantifiers, cardinals, ordinals...
 - Three cars
 - Adjectives and Aps
 - large cars
 - Ordering constraints
 - Three large cars
 - ?large three cars

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Postmodifiers

- Three kinds
 - Prepositional phrases
 - From Seattle
 - Non-finite clauses
 - Arriving before noon
 - Relative clauses
 - That serve breakfast
- Same general (recursive) rule to handle these
 - Nominal* → *Nominal PP*
 - Nominal* → *Nominal GerundVP*
 - Nominal* → *Nominal RelClause*

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Agreement

- By *agreement*, we have in mind constraints that hold among various constituents that take part in a rule or set of rules
- For example, in English, determiners and the head nouns in NPs have to agree in their number.

This flight *This flights
Those flights *Those flight

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Problem

- Our earlier NP rules are clearly deficient since they don't capture this constraint
 - NP* → *Det Nominal*
 - Accepts, and assigns correct structures, to grammatical examples (*this flight*)
 - But its also happy with incorrect examples (**these flight*)
 - Such a rule is said to *overgenerate*.
 - We'll come back to this in a bit

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Verb Phrases

- English *VPs* consist of a head verb along with 0 or more following constituents which we'll call *arguments*.

VP → *Verb* disappear

VP → *Verb NP* prefer a morning flight

VP → *Verb NP PP* leave Boston in the morning

VP → *Verb PP* leaving on Thursday

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Subcategorization

- But, even though there are many valid VP rules in English, not all verbs are allowed to participate in all those VP rules.
- We can subcategorize the verbs in a language according to the sets of VP rules that they participate in.
- This is a modern take on the traditional notion of transitive/intransitive.
- Modern grammars may have 100s or such classes.

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Subcategorization

- Sneeze: John sneezed
- Find: Please find [a flight to NY]_{NP}
- Give: Give [me]_{NP}[a cheaper fare]_{NP}
- Help: Can you help [me]_{NP}[with a flight]_{PP}
- Prefer: I prefer [to leave earlier]_{TO-VP}
- Told: I was told [United has a flight]_S
- ...

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Subcategorization

- *John sneezed the book
- *I prefer United has a flight
- *Give with a flight
- As with agreement phenomena, we need a way to formally express the constraints

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Why?

- Right now, the various rules for VPs *overgenerate*.
 - They permit the presence of strings containing verbs and arguments that don't go together
 - For example
 - VP* → *V NP* therefore
Sneezed the book is a VP since "sneeze" is a verb and "the book" is a valid NP

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Possible CFG Solution

- VP* → *V*
- VP* → *V NP*
- VP* → *V NP PP*
- ...
- VP* → *IntransV*
- VP* → *TransV NP*
- VP* → *TransPP NP PP*
- ...

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Conjunctive Constructions

- S → S and S
 - John went to NY and Mary followed him
- NP → NP and NP
- VP → VP and VP
- ...
- In fact the right rule for English is
X → X and X

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Problems

- Agreement
- Subcategorization
- Movement (for want of a better term)

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Agreement

- | | |
|------------------|--------------------|
| • This dog | • *This dogs |
| • Those dogs | • *Those dog |
| • This dog eats | • *This dog eat |
| • Those dogs eat | • *Those dogs eats |

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Handling Number Agreement in CFGs

- To handle, would need to expand the grammar with multiple sets of rules – but it gets rather messy quickly.
- NP_{sg} → Det_{sg} N_{sg}
- NP_{pl} → Det_{pl} N_{pl}
-
- VP_{sg} → V_{sg} NP_{sg}
- VP_{pl} → V_{pl} NP_{pl}

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CFG Solution for Agreement

- It works and stays within the power of CFGs
- But its ugly
- And it doesn't scale all that well because of the interaction among the various constraints explodes the number of rules in our grammar.

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Movement

- Core example
 - My travel agent booked the flight

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Movement

- Core example

- [[My travel agent]_{NP} [booked [the flight]_{NP}]_{VP}]_S



- I.e. "book" is a straightforward transitive verb. It expects a single NP arg within the VP as an argument, and a single NP arg as the subject.

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Movement

- What about?
 - Which flight do you want me to have the travel agent book_?
- The direct object argument to "book" isn't appearing in the right place. It is in fact a long way from where its supposed to appear.
- And note that its separated from its verb by 2 other verbs.

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The Point

- CFGs appear to be just about what we need to account for a lot of basic syntactic structure in English.
- But there are problems
 - That can be dealt with adequately, although not elegantly, by staying within the CFG framework.
- There are simpler, more elegant, solutions that take us out of the CFG framework (beyond its formal power)
 - LFG, HPSG, Construction grammar, XTAG, etc.
 - Chapter 15 explores the unification approach in more detail

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